

BUILD A BEAR WORKSHOP INC

Form 4

May 07, 2014

FORM 4UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
FINNEGAN DAVID W

(Last) (First) (Middle)

C/O BUILD-A-BEAR
WORKSHOP, INC., 1954
INNERBELT BUSINESS CENTER
DR.

(Street)

ST. LOUIS, MO 63114

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol

BUILD A BEAR WORKSHOP INC
[BBW]

3. Date of Earliest Transaction
(Month/Day/Year)
05/06/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title below) ____ Other (specify below)

Chief Information Bear

6. Individual or Joint/Group Filing(Check
Applicable Line)

____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	05/06/2014		M		14,280	D	\$ 5.11	68,325	D	
Common Stock	05/06/2014		M		15,684	D	\$ 6.59	84,009	D	
Common Stock	05/06/2014		M		6,633	D	\$ 6.21	90,642	D	
Common Stock	05/06/2014		S		68,964	D	\$ 13.69	21,678 ⁽²⁾	D	

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(1)

Common Stock 05/06/2014 G⁽³⁾ V 2,865 D \$ 0 18,813 ⁽⁴⁾ D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 5.11	05/06/2014		M	14,280	03/17/2009 ⁽⁵⁾ 03/17/2019	Common Stock 14,280
Employee Stock Option (Right to Buy)	\$ 6.59	05/06/2014		M	15,684	03/23/2010 ⁽⁵⁾ 03/23/2020	Common Stock 15,684
Employee Stock Option (Right to Buy)	\$ 6.21	05/06/2014		M	6,633	03/22/2011 ⁽⁵⁾ 03/22/2021	Common Stock 6,633

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
FINNEGAN DAVID W C/O BUILD-A-BEAR WORKSHOP, INC. 1954 INNERBELT BUSINESS CENTER DR.	Chief Information Bear

ST. LOUIS, MO 63114

Signatures

/s/ Eric Fencil as Attorney-in-Fact for David W.
Finnegan

05/07/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting price is the weighted average sale price per share for 265 transactions in which the sale prices range from \$13.37 to \$13.90

(1) per share. The Reporting Person undertakes to provide full information regarding the number of shares sold at each separate sale price upon request of the Securities and Exchange Commission staff, the issuer or any security holder of the issuer.

(2) 36,597 of the shares were sold as part of cashless exercises of employee stock options.

(3) Gift of 2,865 shares by Mr. Finnegan.

After giving effect to the transactions reported in this Form 4, Mr. Finnegan directly owns 0 shares of common stock and 18,813 shares of

(4) restricted stock of Build-A-Bear Workshop, Inc. In addition, Mr. Finnegan holds vested options to purchase 2,000 shares and unvested options to purchase 7,632 shares of Build-A-Bear Workshop, Inc.'s common stock.

(5) The options vest in equal annual installments over four years from the date of grant.

(6) Price is not applicable to acquisitions resulting from grants of stock options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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