### Edgar Filing: STATE STREET CORP - Form 3

STATE STREET CORP

Form 3 April 07, 2015

# FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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January 31, 2005

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**SECURITIES** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

O HANLEY RONALD P

(Last)

(First) (Middle)

Statement

(Month/Day/Year)

04/06/2015

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

STATE STREET CORP [STT]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

STATE STREET CORPORATION, Â ONE LINCOLN STREET

(Street)

(Check all applicable)

Director \_X\_\_ Officer

10% Owner Other

(give title below) (specify below) EVP; President and CEO of **SSGA** 

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

BOSTON. MAÂ 02111

(City)

(Instr. 4)

1. Title of Security

(State)

(Zip)

2. Amount of Securities

Beneficially Owned (Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5) Form:

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

5. Conversion Ownership or Exercise Form of

6. Nature of Indirect Beneficial Ownership

(Instr. 4)

**Expiration Title** Date Exercisable Date

Amount or Number of

Derivative Price of Derivative Security: Security Direct (D)

(Instr. 5)

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Shares

or Indirect (I)

(Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Â

Director 10% Owner Officer Other

O HANLEY RONALD P STATE STREET CORPORATION ONE LINCOLN STREET BOSTON. MAÂ 02111

Â EVP; President and CEO of SSGA

## **Signatures**

/s/ Shannon C. Stanley, Attorney-in-fact

04/07/2015

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

No securities are beneficially owned

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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