## Edgar Filing: ARMISTICE CAPITAL, LLC - Form 4

ARMISTICE CAPITAL, LLC Form 4 November 16, 2018 **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading ARMISTICE CAPITAL, LLC Issuer Symbol Del Frisco's Restaurant Group, Inc. (Check all applicable) [DFRG] (Last) (First) (Middle) 3. Date of Earliest Transaction Director X\_\_ 10% Owner \_ Other (specify Officer (give title (Month/Day/Year) below) below) 510 MADISON AVENUE, 7TH 11/15/2018 **FLOOR** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting NEW YORK, NY 10022 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) 5. Amount of 1.Title of 2. Transaction Date 2A. Deemed 3. 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Owned Direct (D) Ownership (Instr. 8) Following or Indirect (Instr. 4) Reported (I)(A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V (D) Price Amount Common Ρ D (1) 11/15/2018 36,456 A 3,832,456 Stock 6.2217 See Common 11/15/2018 Р 0 I A \$0 3,832,456 Footnote Stock (2) See Common Ρ 0 Footnote 11/15/2018 A \$0 3.832.456 I Stock (2) Common 17,544 A Ρ D<sup>(1)</sup> 11/15/2018 3,850,000 6 2364 Stock

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Common Stock	11/15/2018	Р	0	А	\$0	3,850,000	Ι	See Footnote $(2)$
Common Stock	11/15/2018	Р	0	А	\$ 0	3,850,000	Ι	See Footnote $(2)$
Common Stock	11/15/2018	Р	8,000	А	\$ 6.29	3,858,000	D <u>(1)</u>	
Common Stock	11/15/2018	Р	0	А	\$0	3,858,000	I	See Footnote $(2)$
Common Stock	11/15/2018	Р	0	А	\$0	3,858,000	I	See Footnote
Common Stock	11/16/2018	Р	16,000	А	\$ 6.105	3,874,000	D <u>(1)</u>	
Common Stock	11/16/2018	Р	0	А	\$ 0	3,874,000	I	See Footnote $(2)$
Common Stock	11/16/2018	Р	0	А	\$ 0	3,874,000	Ι	See Footnote (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

De Seo	Fitle of rivative curity str. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
					Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
reporting of the runner runness	Director	10% Owner	Officer	Other			
ARMISTICE CAPITAL, LLC 510 MADISON AVENUE 7TH FLOOR NEW YORK, NY 10022		Х					
Armistice Capital Master Fund Ltd. C/O DMS CORPORATE SERVICES LTD. 20 GENESIS CLOSE, P.O. BOX 314 GRAND CAYMAN, E9 KY1-1104		Х					
Boyd Steven C/O ARMISTICE CAPITAL, LLC 510 MADISON AVENUE, 7TH FLOOR NEW YORK, NY 10022		Х					
Signatures							
Armistice Capital, LLC, By: /s/ Steven Boyd Member <u>**</u> Signature of Reporting Person	C .	-	11/1	6/2018 Date			
Armistice Capital Master Fund Ltd. By: /s/ S Director	teven Boy	vd,	11/1	6/2018			
**Signature of Reporting Person				Date			
/s/ Steven Boyd			11/1	6/2018			
**Signature of Reporting Person				Date			

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported securities are directly owned by Armistice Capital Master Fund Ltd.

The reported securities are directly owned by Armistice Capital Master Fund Ltd., a Cayman Islands corporation, and may be deemed to be indirectly beneficially owned by Armistice Capital, LLC, as the investment manager of Armistice Capital Master Fund Ltd. The reported securities may also be deemed to be indirectly beneficially owned by Steven Boyd as Managing Member of Armistice Capital,

(2) LLC and Director of Armistice Capital Master Fund Ltd. Armistice Capital, LLC and Steven Boyd disclaim beneficial ownership of the reported securities except to the extent of their respective pecuniary interest therein, and this report shall not be deemed an admission that either of them are the beneficial owners of the securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.