Edgar Filing: Neu Stacey L - Form 4

Neu Stacev I

Form 4 July 17, 2018)										
•										OMB A	PPROVAL
FORM	UNITED) STATES				ND EXC D.C. 205		IGE (COMMISSION	OMB Number:	3235-0287
Check thi if no long subject to Section 10 Form 4 or	F CHANGES IN BENEFICIAL OW SECURITIES						NERSHIP OF	burden hou	Expires: January 31 200 Estimated average burden hours per response 0.		
Form 5 obligatior may conti <i>See</i> Instru 1(b).	inue. Section 17	(a) of the		ility H	oldi	ing Com	pany	Act of	e Act of 1934, f 1935 or Sectio 40		0.0
(Print or Type R	Responses)										
1. Name and A Neu Stacey	2. Issuer Name and Ticker or Trading Symbol						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last)	Spectrum Brands Legacy, Inc. [SPB] 3. Date of Earliest Transaction					SPDJ					
C/O SPECT	^(First) RUM BRANDS 5, INC., 3001 D		(Month/D 07/13/20	ay/Year)		insaction			Director X Officer (give below) SVP of		o Owner er (specify rces
(Street) 4. If Amendment, Date Origin Filed(Month/Day/Year)				e Original	nal 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person						
MIDDLETC	ON, WI 53562								Form filed by M Person	Aore than One Re	eporting
(City)	(State)	(Zip)	Table	e I - Nor	1-De	erivative S	ecurit	ies Acc	uired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Yea	r) Execution any		Code	8)	n(A) or Di (D)	sposed	of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
Common Stock	07/13/2018			D		11,438		<u>(1)</u>	0	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Performance Rights	<u>(2)</u>	07/13/2018		D	2,340	(2)	(2)	Common Stock	2,340

Reporting Owners

Reporting Owner Name / Address			Relationships		
reporting of the runner runners	Director	10% Owner	Officer	Other	
Neu Stacey L C/O SPECTRUM BRANDS HOLDINGS, IN 3001 DEMING WAY MIDDLETON, WI 53562	C.		SVP of Human Resources		
Signatures					
/s/ Nathan E. Fagre, attorney-in-fact 07/1	7/2018				

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Pursuant to the terms of the Agreement and Plan of Merger dated February 24, 2018, and amended June 8, 2018, by and among Spectrum (1) Brands Holdings, Inc. ("Spectrum") and HRG Group, Inc. ("HRG"), each share of common stock of Spectrum was converted into one share of common stock of HRG as of July 13, 2018, which was the closing date of the merger.

These performance rights, which provide for vesting on December 1, 2018, were assumed by HRG in the merger. Pursuant to the Merger Agreement, each performance right that corresponds to a number of shares of Spectrum common stock granted under a Spectrum equity

incentive plan that was outstanding immediately prior to the effective time of the merger, was automatically converted into a performance (2)right award of HRG common stock equal to the number of shares of Spectrum common stock subject to such award as of immediately prior to the effective time of the merger (each such award, a "New HRG Award"). Each New HRG Award will continue to have the same terms and conditions, including with respect to vesting, as the Spectrum performance rights award to which they relate.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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