

Doerr Ann Howland
 Form 3
 August 27, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
 Expires: January 31, 2005
 Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Doerr Ann Howland | | (Month/Day/Year) | AMYRIS, INC. [AMRS] | |
| (Last) | (First) | (Middle) | 08/17/2018 | |
| 751 LAUREL STREET, #717 | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | | (Check all applicable) | |
| SAN CARLOS, Â CA Â 94070 | | | <input type="checkbox"/> Director | <input checked="" type="checkbox"/> 10% Owner |
| (City) | (State) | (Zip) | <input type="checkbox"/> Officer | <input type="checkbox"/> Other |
| | | | (give title below) | (specify below) |
| | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| | | | | <input type="checkbox"/> Form filed by One Reporting Person |
| | | | | <input checked="" type="checkbox"/> Form filed by More than One Reporting Person |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|--|
| Common Stock | 7,852,775 ⁽¹⁾ | I | By Foris Ventures, LLC ⁽²⁾ |
| Common Stock | 567 | I | By The Vallejo Ventures Trust U/T/A 2/12/96 ⁽³⁾ |
| Common Stock | 5,532 | I | By L. John Doerr ⁽⁴⁾ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying | 4. Conversion | 5. Ownership | 6. Nature of Indirect Beneficial |
|--|--|--|---------------|--------------|----------------------------------|
|--|--|--|---------------|--------------|----------------------------------|

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| | Date Exercisable | Expiration Date | Derivative Security (Instr. 4) Title | Amount or Number of Shares | or Exercise Price of Derivative Security | Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | Ownership (Instr. 5) |
|---|------------------|-----------------|---|----------------------------|--|--|-----------------------------------|
| 6.50% Convertible Senior Notes due 2019 | Â <u>(5)</u> | 05/15/2019 | Common Stock | 89,037 | \$ <u>(5)</u> | I | By Foris Ventures, LLC <u>(2)</u> |
| Warrant (Right to Buy) | Â <u>(6)</u> | Â <u>(6)</u> | Common Stock | 64,103 | \$ 0.15 | I | By Foris Ventures, LLC <u>(2)</u> |
| Warrant (Right to Buy) | Â <u>(7)</u> | Â <u>(7)</u> | Common Stock | 152,381 | \$ 0.15 | I | By Foris Ventures, LLC <u>(2)</u> |
| Warrant (Right to Buy) | Â <u>(8)</u> | Â <u>(8)</u> | Common Stock | 4,877,386 | \$ 7.52 | I | By Foris Ventures, LLC <u>(2)</u> |
| Stock Option (Right to Buy) | 08/01/2019 | 08/01/2028 | Common Stock | 3,466 | \$ 6.96 | I | By L. John Doerr <u>(4)</u> |
| Stock Option (Right to Buy) | 08/02/2018 | 08/02/2027 | Common Stock | 1,733 | \$ 3.93 | I | By L. John Doerr <u>(4)</u> |
| Stock Option (Right to Buy) | 07/13/2017 | 07/13/2026 | Common Stock | 1,733 | \$ 6.08 | I | By L. John Doerr <u>(4)</u> |
| Stock Option (Right to Buy) | 08/11/2016 | 07/13/2026 | Common Stock | 400 | \$ 25.35 | I | By L. John Doerr <u>(4)</u> |
| Stock Option (Right to Buy) | 08/07/2015 | 08/06/2024 | Common Stock | 400 | \$ 57.9 | I | By L. John Doerr <u>(4)</u> |
| Stock Option (Right to Buy) | 08/08/2014 | 08/01/2023 | Common Stock | 400 | \$ 41.4 | I | By L. John Doerr <u>(4)</u> |
| Stock Option (Right to Buy) | 08/09/2013 | 07/19/2022 | Common Stock | 400 | \$ 53.25 | I | By L. John Doerr <u>(4)</u> |
| Stock Option (Right to Buy) | 08/07/2012 | 07/19/2021 | Common Stock | 400 | \$ 367.5 | I | By L. John Doerr <u>(4)</u> |
| Stock Option (Right to Buy) | 06/15/2011 | 03/15/2021 | Common Stock | 1,333 | \$ 452.55 | I | By L. John Doerr <u>(4)</u> |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Doerr Ann Howland 751 LAUREL STREET, #717 | Â | Â X | Â | Â |

SAN CARLOS, CA 94070

Foris Ventures, LLC

751 LAUREL STREET #717
 SAN CARLOS, CA 94070

Vallejo Ventures Trust UTA 21296

751 LAUREL STREET #717
 SAN CARLOS, CA 94070

Hager Barbara

751 LAUREL STREET #717
 SAN CARLOS, CA 94070

Signatures

FORIS VENTURES, LLC, By: /s/ Barbara Hager , Printed Name: Barbara Hager, Title: Manager 08/27/2018

__Signature of Reporting Person Date

The Vallejo Ventures Trust U/T/A 2/12/96, By: /s/ Barbara Hager , Printed Name: Barbara Hager, Title: Special Trustee 08/27/2018

__Signature of Reporting Person Date

/s/ Ann Howland Doerr 08/27/2018

__Signature of Reporting Person Date

/s/ Barbara Hager 08/27/2018

__Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 1,012,071 shares of Common Stock issuable to Foris Ventures, LLC ("Foris") following the October 9, 2017 automatic conversion of the Company's Series B 17.38% Convertible Preferred Stock ("Series B Preferred Stock") into Common Stock. Pursuant to the Series B Certificate of Designation, the automatic conversion of the Series B Preferred Stock held by Foris to Common Stock will be

(1) held in abeyance to the extent such conversion would cause Foris to beneficially own in excess of 9.99% of the number of shares of Common Stock outstanding (the "Beneficial Ownership Limitation"), until Foris is able to receive such shares without exceeding the Beneficial Ownership Limitation, but all rights under the Series B Certificate of Designation shall cease to apply, other than the right to convert.

(2) The Vallejo Ventures Trust U/T/A 2/12/96 ("VVT") is the member of Foris. L. John Doerr and Ann Doerr are the trustees of VVT. Barbara Hager is the special trustee of VVT and manager of Foris. By virtue of these relationships, each of VVT, Ann Doerr and Barbara Hager may be deemed to have the power to vote and dispose of shares held by Foris. Each of VVT, Ann Doerr and Barbara Hager disclaims beneficial ownership of the shares held by Foris except to the extent of her or its pecuniary interest therein, if any.

(3) L. John Doerr and Ann Doerr are the trustees of VVT. Barbara Hager is the special trustee of VVT and manager of Foris. By virtue of these relationships, Ann Doerr and Barbara Hager may be deemed to have the power to vote and dispose of shares held by VVT. Each of Ann Doerr and Barbara Hager disclaims beneficial ownership of the shares held by VVT except to the extent of her pecuniary interest therein, if any.

(4) L. John Doerr is the husband of Ann Doerr.

(5) The 6.50% Convertible Senior Note due 2019 held by Foris (the "Foris 6.50% Note due 2019") is convertible into shares of the Company's Common Stock at a conversion rate of 17.8073 shares per \$1,000 in principal (which conversion rate is subject to adjustment in certain circumstances), representing an effective conversion price of approximately \$56.16 per share. The principal amount of the Foris 6.50% Note due 2019 is \$5,000,000.

(6) The exercisability of the warrant was subject to the approval of the stockholders of the Issuer, which was obtained on September 17, 2015. The warrant expires on July 29, 2020.

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- (7) The exercisability of the warrant was subject to the approval of the stockholders of the Issuer, which was obtained on May 17, 2016. The warrant expires on February 12, 2021.
- (8) The warrant is exercisable beginning on February 17, 2019 (the "Initial Exercise Date"), the six month anniversary of the issuance of the warrant. The warrant expires on the fifteen month anniversary of the Initial Exercise Date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.