Edgar Filing: Kramer Curt A - Form 4

Form 4 February 15,											
FORM	4									PPROVAL	
. •	• • UNITE	D STATE					NGE (COMMISSION	OMB Number:	3235-0287	
Check thi if no long subject to Section 10 Form 4 or Form 5 obligation	er STAT 6. Filed p	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,								Expires:January 31, 2005Estimated average burden hours per response0.5	
may conti <i>See</i> Instru 1(b).	iction) of the Inv	•	•	· ·		f 1935 or Sectio 40	11		
(Print or Type R	lesponses)										
Kramer Curt A Symbol NAVIST				Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
CORP [(Last) (First) (Middle) 3. Date of (Month/D NAVISTAR INTERNATIONAL 02/13/20 CORPORATION, 2701 NAVISTAR DRIVE				f Earliest Transaction Day/Year)				below)	_ Officer (give title Other (specify		
(Street) 4. If Amer				ndment, Date Original th/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	Securi	ties Acc	uired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)		2. Transaction Date 2A. Deemed Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or			d of	Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	02/13/2019			А	6,413	А	\$0	14,805	D		
Common Stock	02/14/2019			М	606	А	\$ 0 (1)	15,411	D		
Common Stock	02/14/2019			D	606	D	\$ 35.5	14,805	D		
Common Stock								163.8942	Ι	By Navistar 401(k)	

									Savings Plan	
Reminder: Report on a separate line for each class of securities benefici				ially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.					SEC 1474 (9-02)	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	 5. Number of 6. Date Exercisable and Expiration Date Securities (Month/Day/Year) 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) 		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 35.08	02/13/2019		А	11,035		(2)	02/13/2029	Common Stock	11,035
Restricted Stock Units	<u>(1)</u>	02/14/2019		М		606	<u>(3)</u>	<u>(3)</u>	Common Stock	606

Reporting Owners

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
Kramer Curt A NAVISTAR INTERNATIONAL CORPORATION 2701 NAVISTAR DRIVE LISLE, IL 60532				SVP & General Counsel				
Signatures								
Richard E. Bond, Attorney in fact	02/15/2019							
**Signature of Reporting Person	Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit (RSU) represents the right to receive the cash equivalent of Navistar's common stock converted on a 1 to 1 basis, unless Navistar otherwise elects to settle the RSUs in Navistar common stock on a 1 to 1 basis.
- (2) The option is exercisable in three annual installments as follows: 3,679 shares on 2/13/2020; 3,678 shares on 2/13/2021; and 3,678 shares on 2/13/2022.

The RSUs disposed were from an award of 1,819 RSUs granted on 2/14/2017 that has been paid in cash as to 607 shares on 2/14/2018; as
(3) to 606 shares on 2/14/2019; and will become payable in cash, unless the Corporation elects to settle the RSUs in common stock, as to 606 shares on 2/14/2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.