Edgar Filing: GOODMAN BRUCE J - Form 144

GOODMAN BRUCE J Form 144 March 08, 2011 **UNITED STATES** OMB APPROVAL SECURITIES AND EXCHANGE COMMISSION **OMB** Washington, D.C. 20549 Number: 3235-0101 **FORM 144** Expires: December 31, 2009 NOTICE OF PROPOSED SALE OF SECURITIES Estimated average burden PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933 hours per response. 2.00 Transmit for filing 3 copies of this form concurrently with either SEC USE ONLY placing an order with a broker to execute sale or DOCUMENT SEQUENCE executing a sale directly with a market maker. NO. **CUSIP NUMBER** 1 (a) NAME OF ISSUER (Please type or print) (b) IRS IDENT.(c) S.E.CWORK LOCATION Humana Inc. NO. **FILE** 61-0647538 NO. 1-5975 1 (d) ADDRESS OF ISSUER (e) TELEPHONE **CITY NUMBER STREET AREA ZIP CODE CODE** 580-1000 **STATE** 502 500 West Main Street Louisville KY 40202 2 (a) NAME OF PERSON FOR WHOSE(b) IRS (c) RELATIONS AID DRESS STREET ACCOUNT THE SECURITIES IDENT, NO. TO **CITY STATE ZIP CODE** ARE TO BE SOLD **ISSUER**

Bruce J. Goodman N/A Senior Vice 500 W. Main

President Street Louisville KY 40202

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. identification Number and the S.E.C. File Number

3 (a) (b) SEC USE ONLY (c) (d) (e) (f)
Title of the

Title of the Number of AggregateNumber Approximate Date of Name of Class of Name and Address Broker-Dealer Shares Market of Sale Each Securities of Each Broker File Number or Other Units Value Shares (See Instr. 3(f)) Securities To Be Sold Through Whom the (See or Other (MO. DAY YR.) To Be Sold Exchange Securities Are To Units (See instr. 3 (See Instr. 3 Instr.

Be Offered or Each (c)) 3(d) Outstanding Market Maker who (See

is Acquiring the Instr.
Securities 3(e))

Common Fidelity 47,356 \$3,04815626500,907 March 8, 2011 NYSE

Investments based as of 3805 Edwards on 2/25/11

Road, Ste. 110 FMV
Cincinnati, OH on
45209 3/4/11
of
\$63.785

1

(g)

g))

Edgar Filing: GOODMAN BRUCE J - Form 144

INSTRUCTIONS:

3. (a) Title of the class of securities to be sold

1. (a) Name of Issuer

- (b) Name and Address of each broker through whom the securities are intended
- (b) Issuer's I.R.S. Identification Number
- (c) Number of shares or other units to be sold (if debt securities, give the aggreg
- (c) Issuer's S.E.C. file number, if any
- (d) Aggregate market value of the securities to be sold as of a specified date with (e) Number of shares or other units of the class outstanding, or if debt securities
- (d) Issuer's address, including zip code

- (e) Issuer's telephone number, including area doubthe most recent report or statement published by the issuer
 - (f) Approximate date on which the securities are to be sold (g) Name of each securities exchange, if any, on which the securities are intended
- 2. (a) Name of person for whose account the securities are to be sold
 - (b) Such person's I.R.S. identification number, if such person is an entity
 - (c) Such person's relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
 - (d) Such person's address, including

zip code

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1147 (01-07)

TABLE I - SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor.

Title of	Date you	Nature of Acquisition	Name of the Person	Amount of	Date of	Nature of
the Class	Acquired	Transaction	from Whom Acquired	Securities	Payment	Payment
			(if gift, also give date	Acquired		
			donor acquired			
Common	3/8/11	Stock Option Exercise	Issuer	38,701	3/8/11	Cash
Common	3/8/11	Stock Option Exercise	Issuer	8,655	3/8/11	Cash

INSTRUCTIONS: If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

TABLE II - SECURITIES SOLD DURING THE PAST 3 MONTHS (1)

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Name and Address of Seller	Title of Securities	Amount of			
	Sold	Date of Sale	Securities Sold	Gross Proceeds	
Bruce J. Goodman	Common	3/1/11	4,000	\$260,000	
500 West Main	Common	Pending	4,506	Pending	
Louisville, KY 40202					
REMARKS:					

INSTRUCTIONS:

ATTENTION:

See the definition of "person" in paragraph (a) of Rule The person for whose account the securities to which this 144. Information is to be given not only as to thenotice relates are to be sold hereby represents by signing this person for whose account the securities are to be soldnotice

but also as to all other persons included in that that he does not know any material adverse information in definition. In addition, information shall be given as toregard to the current and prospective operations of the issuer sales by all persons whose sales are required byof

paragraph (e) of Rule 144 to be aggregated with sales the securities to be sold which has not been publicly for the account of the person filing this notice. disclosed.

> March 8, 2011 DATE OF NOTICE

/s/ Bruce J. Goodman (SIGNATURE)

This notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed.

Edgar Filing: GOODMAN BRUCE J - Form 144

Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)

SEC 1147 (01/04)