Edgar Filing: GENERAL AMERICAN INVESTORS CO INC - Form 3

GENERAL AMERICAN INVESTORS CO INC

Form 3

January 24, 2013

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

Estimated average burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement GENERAL AMERICAN INVESTORS CO INC [GAM] Siri von Reis 2012 Family (Month/Day/Year) 12/31/2012 Trust (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O OVERBROOK (Check all applicable) MANAGEMENT CORPORATION, Â 122 EAST _X_ Director 10% Owner 42ND STREET - SUITE 2500 X_ Other Officer (give title below) (specify below) (Street) 6. Individual or Joint/Group Chairman Filing(Check Applicable Line) _X_ Form filed by One Reporting NEW YORK. NYÂ 10168 Person Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities 3. Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D 4,429 Â 5.95% Preferred Stock 11,200 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

Edgar Filing: GENERAL AMERICAN INVESTORS CO INC - Form 3

1. Title of Derivative Security (Instr. 4)

Expiration Date (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of Derivative Security

Ownership Form of Derivative Security:

Direct (D)

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Expiration Date Exercisable Date

Amount or Title Number of

Shares

or Indirect (I) (Instr. 5)

Reporting Owners

Relationships

Reporting Owner Name / Address

10% Director Owner

Officer Other

Siri von Reis 2012 Family Trust C/O OVERBROOK MANAGEMENT CORPORATION 122 EAST 42ND STREET - SUITE 2500 NEW YORK, NYÂ 10168

ÂΧ

Â

Â

Chairman

Signatures

/s/Spencer Davidson, Trustee, Siri von Reis 2012 Family Trust

01/24/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2