

MORCOTT SOUTHWOOD J

Form 4

November 01, 2007

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
MORCOTT SOUTHWOOD J

(Last) (First) (Middle)

CSX CORPORATION, 500 WATER
STREET C160

(Street)

JACKSONVILLE, FL 32202

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
CSX CORP [CSX]

3. Date of Earliest Transaction
(Month/Day/Year)
10/30/2007

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common stock	10/30/2007		S		800	D	\$ 44	8,270	D
Common stock	10/30/2007		S		5,897	D	\$ 44.43	2,373	D
Common Stock	10/30/2007		M		2,000	A	\$ 20.625	4,373	D
Common Stock	10/30/2007		S		2,000	D	\$ 44.4471	2,373	D
Common Stock	10/30/2007		M		2,000	A	\$ 17.6563	4,373	D

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Common Stock	10/30/2007	S	2,000	D	\$ 44.4471	2,373	D	
Common Stock	10/30/2007	M	8,000	A	\$ 13.205	10,373	D	
Common Stock	10/30/2007	S	8,000	D	\$ 44.4471	2,373	D	
Common Stock	10/30/2007	M	8,000	A	\$ 17.5375	10,373	D	
Common Stock	10/30/2007	S	8,000	D	\$ 44.4471	2,373	D	
Common Stock	10/31/2007	S	2,373	D	\$ 44.3478	0	D	
Common Stock						61,880	I	By Trustee <u>(1)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Common Stock	\$ 20.625	10/30/2007		M	2,000	12/09/1999	12/09/2008	Common Stock 2,000
Common Stock	\$ 17.6563	10/30/2007		M	2,000	12/08/2000	12/08/2009	Common Stock 2,000
Common Stock	\$ 13.205	10/30/2007		M	8,000	12/13/2001	12/13/2010	Common Stock 8,000
Common Stock	\$ 17.5375	10/30/2007		M	8,000	12/12/2002	12/12/2011	Common Stock 8,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MORCOTT SOUTHWOOD J CSX CORPORATION 500 WATER STREET C160 JACKSONVILLE, FL 32202		X		

Signatures

Southwood J. Morcott by Nathan D. Goldman,
Attorney-in-Fact

11/01/2007

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) By Trustee, CSX Corporation Stock Plan for Directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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