

Coeur Mining, Inc.
Form 4
January 06, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
KREBS MITCHELL J

(Last) (First) (Middle)

104 S. MICHIGAN AVE., STE. 900

(Street)

CHICAGO, IL 60603

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Coeur Mining, Inc. [CDE]

3. Date of Earliest Transaction
(Month/Day/Year)
01/05/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

President and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.01 per share	01/05/2017		S ⁽¹⁾	73,142 D	\$ 10.13	548,120 ⁽²⁾	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
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SEC 1474
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Incentive Stock Options (right to buy)	\$ 39.9					03/20/2008 03/20/2017	Common Stock 2,05
Incentive Stock Options (right to buy)	\$ 48.5					01/10/2009 01/10/2018	Common Stock 2,06
Non-qualified Stock Options (right to buy)	\$ 48.5					01/10/2009 01/10/2018	Common Stock 121
Incentive Stock Options (right to buy)	\$ 10					02/03/2010 02/03/2019	Common Stock 3,33
Non-qualified Stock Options (right to buy)	\$ 10					02/03/2010 02/03/2019	Common Stock 1,40
Stock Appreciation Rights	\$ 10					02/03/2010 02/03/2019	Common Stock 5,54
Stock Appreciation Rights	\$ 15.4					03/02/2011 03/02/2020	Common Stock 13,16
Incentive Stock Options (right to buy)	\$ 27.45					01/03/2012 ⁽³⁾ 01/03/2021	Common Stock 3,64
Non-qualified Stock Options (right to buy)	\$ 27.45					01/03/2012 ⁽³⁾ 01/03/2021	Common Stock 7,85
Incentive Stock Options (right to buy)	\$ 27.66					01/31/2013 ⁽³⁾ 01/31/2022	Common Stock 3,61

Non-qualified Stock Options (right to buy)	\$ 27.66	01/31/2013 ⁽³⁾	01/31/2022	Common Stock	19,01
Incentive Stock Options (right to buy)	\$ 23.9	01/22/2014 ⁽³⁾	01/22/2023	Common Stock	4,18
Non-qualified Stock Options (right to buy)	\$ 23.9	01/22/2014 ⁽³⁾	01/22/2023	Common Stock	26,30

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KREBS MITCHELL J 104 S. MICHIGAN AVE., STE. 900 CHICAGO, IL 60603	X		President and CEO	

Signatures

/s/ Casey M. Nault,
Attorney-in-Fact

01/06/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 selling plan previously adopted by the reporting person and previously disclosed by the Company.
- (2) Includes 450,410 unvested shares of restricted stock.
- (3) The stock options become exercisable to the extent of one-third on each of the above date, its first anniversary and its second anniversary.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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