#### WEINER DANIEL L

Form 4

February 12, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 3235-0287

**OMB APPROVAL** 

Number:

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

02/11/2019

(Print or Type Responses)

1. Name and Address of Reporting Person WEINER DANIEL L			Person * 2. Iss Symbo		d Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
					S PLUS INC [SLP]				
	(Last)	(First) (M	(iddle) 3. Date	of Earliest T	ransaction				
			(Month	/Day/Year)		_X_ Director	10%	Owner	
	42505 10TH	2505 10TH STREET WEST		/2019		Officer (give below)	e titleOthe below)	Other (specify below)	
(Street)			4. If A	nendment, D	ate Original	6. Individual or Joint/Group Filing(Check			
			Filed(N	Ionth/Day/Yea	ur)	Applicable Line) _X_ Form filed by	1 0		
	LANCASTI	ER, CA 93534				Person	More than One Re	porung	
	(City)	(State) (	Zip) Ta	ble I - Non-	Derivative Securities Acq	quired, Disposed o	f, or Beneficial	ly Owned	
	1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities Acquired	5. Amount of	6. Ownership	7. Nature of	
	Security	(Month/Day/Year)	Execution Date, i	f Transact	ion(A) or Disposed of (D)	Securities	Form: Direct	Indirect	
	(Instr. 3)		any	Code	(Instr. 3, 4 and 5)	Beneficially	(D) or	Beneficial	
			(Month/Day/Yea	r) (Instr. 8)		Owned	Indirect (I)	Ownership	
						Following	(Instr. 4)	(Instr. 4)	
					(A)	Reported			
					· ,	I rangaction(g)			

Code V Amount

627

(D)

Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Transaction(s) (Instr. 3 and 4)

5,006 (1)

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: WEINER DANIEL L - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. 6. Date Exercisable a conNumber Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and A Underlying S (Instr. 3 and	Securities	8. Price Derivat Security (Instr. 5	
				Code V	ŕ	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy	\$ 14.5						(2)	08/31/2027	Common Stock	416	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
r g	Director	10% Owner	Officer	Other		
WEINER DANIEL L 42505 10TH STREET WEST LANCASTER, CA 93534	X					

# **Signatures**

/s/ Daniel L.
Weiner 02/12/2019

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares granted as independent director compensation, issued from the 2017 Equity Incentive Plan.
- (2) The option is vested over a three year period with 40% on the first anniversary of the date of grant and continuing to vest as to the 2nd and 3rd installment on the 2nd and 3rd anniversary date thereafter at 30%.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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