Edgar Filing: Pieri Nathan - Form 4

Pieri Nathan

| Form 4 May 15, 2018 | 3 | | | | | | | | | | | |
|--|-----------------------------------|-------------------|-----------------------------------|---|------|--|--------------------|---------|---|--|-----------|--|
| FORM | | | | | | | | | | OMB APPROVAL | | |
| - | UNITE | D STATES | | | | ND EXC D.C. 205 | | NGE (| COMMISSION | OMB Number: | 3235-0287 | |
| Check this box if no longer | | | | GES IN BENEFICIAL OW SECURITIES | | | | | NERSHIP OF | Expires: Estimated a burden hou response | irs per | |
| Form 5 obligation may conti <i>See</i> Instru 1(b). | $\frac{1}{1}$ section 1 | 7(a) of the | | ility Ho | oldi | ng Com | pany | Act o | ge Act of 1934, f 1935 or Sectio 40 | 'n | | |
| (Print or Type R | esponses) | | | | | | | | | | | |
| 1. Name and Address of Reporting Person * Pieri Nathan2. Iss Symbo | | | | Name a i | nd 🛛 | Ficker or T | Frading | g | 5. Relationship of Reporting Person(s) to Issuer | | | |
| Ai | | | Amber F | Road, Iı | nc. | [AMBR |] | | (Check all applicable) | | | |
| (Month/ | | | | ate of Earliest Transaction nth/Day/Year) 11/2018 | | | | | Director 10% Owner X Officer (give title Other (specify below) below) Chief Product Officer | | | |
| | | | | ndment, Date Original th/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| EAST RUTH | HERFORD, NJ | J 07073 | | | | | | | | More than One Ro | | |
| (City) | (State) | (Zip) | Table | e I - Non | -De | erivative S | ecuri | ties Ac | quired, Disposed o | f, or Beneficia | lly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction E (Month/Day/Ye | ar) Execution any | emed on Date, if 'Day/Year) | Code (Instr. 8 | 8) | 4. Securi nAcquired Disposed (Instr. 3, Amount | l (A) o l of (D |) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common Stock | 05/11/2018 | | | M <u>(1)</u> | • | 4,920 | A | \$ 0 | 35,746 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | of Derivative Expiration Date Securities (Month/Day/Year) Acquired A) or Disposed of D) Instr. 3, 4, | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|---|---|---|---|---|-----|--|------------------|---|-----------------|--|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Restricted Stock Units | <u>(2)</u> | 05/11/2018 | | М | | 4,920 | 02/10/2020(3) | (3) | Common Stock | 4,920 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|-----------------------|-------|--|--|--|
| I O O O O O O O O O O O O O O O O O O O | Director | 10% Owner | Officer | Other | | | |
| Pieri Nathan C/O AMBER ROAD, INC. ONE MEADOWLANDS PLAZA EAST RUTHERFORD, NJ 07073 | | | Chief Product Officer | | | | |
| Signatures | | | | | | | |

| /s/ Nathan Pieri | 05/15/2018 |
|--|------------|
| <u>**</u> Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the conversion upon vesting of restricted stock units ("RSUs") into common stock. On February 10, 2016, the reporting person was granted 78,717 RSUs, of which 1/16th vested on May 11, 2018, at which time tax withholding obligations were due.
- (2) Each RSU represents a contingent right to receive one share of common stock of the registrant.

On February 10, 2016, the reporting person was granted 78,717 RSUs, of which 1/16th vested on May 11, 2018. The remaining unvested(3) RSUs will continue to vest as to 1/16th of the original number of shares subject to the RSUs on each succeeding quarter until fully vested on February 10, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.