Hodgkins Charles Form 3 December 18, 2017

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement INNERWORKINGS INC [INWK] Hodgkins Charles (Month/Day/Year) 12/06/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O INNERWORKINGS. (Check all applicable) INC., 600 WEST CHICAGO **AVENUE SUITE 850** 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) SVP & Interim CFO \_X\_ Form filed by One Reporting Person Form filed by More than One

CHICAGO, ILÂ 60654

(City)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

(State)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form:

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Reporting Person

Direct (D) or Indirect (I) (Instr. 5)

Â D

Derivative

Security:

Common Stock 13,194

(Zip)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Title and Amount of 6. Nature of Indirect 1. Title of Derivative Security 2. Date Exercisable and 4. 5. Securities Underlying Conversion Ownership Beneficial Ownership (Instr. 4) **Expiration Date** (Month/Day/Year) Derivative Security or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	(1)	03/10/2020	Common Stock	35,212	\$ 5.4	D	Â
Stock Option (right to buy)	(2)	06/23/2021	Common Stock	4,750	\$ 8.24	D	Â
Stock Option (right to buy)	(3)	09/14/2022	Common Stock	3,328	\$ 12.24	D	Â
Stock Option (right to buy)	(4)	06/13/2024	Common Stock	4,754	\$ 7.18	D	Â
Stock Option (right to buy)	(5)	06/03/2025	Common Stock	7,576	\$ 6.68	D	Â
Stock Option (right to buy)	(6)	06/06/2026	Common Stock	8,920	\$ 8.45	D	Â
Stock Option (right to buy)	(7)	06/01/2027	Common Stock	6,081	\$ 11.1	D	Â

# **Reporting Owners**

Reporting Owner Name / Address		Relationships					
		10% Owner	Officer	Other			
Hodgkins Charles C/O INNERWORKINGS, INC. 600 WEST CHICAGO AVENUE SUITE 850 CHICAGO Â ILÂ 60654	Â	Â	SVP & Interim CFO	Â			

## **Signatures**

Ronald Provenzano, by Power of Attorney 12/18/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options became fully vested on March 10, 2014.
- (2) These options became fully vested on June 23, 2015.
- (3) These options became fully vested on September 14, 2016.
- (4) These options vest and become exercisable in four equal annual installments beginning on June 13, 2015; 3,565 of these options have vested as of the date of this filing.
- (5) These options vest and become exercisable in four equal annual installments beginning on June 3, 2016; 3,788 of these options have vested as of the date of this filing.
- (6) These options vest and become exercisable in four equal annual installments beginning on June 6, 2017; 2,230 of these options have vested as of the date of this filing.

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(7) These options vest and become exercisable in four equal annual installments beginning on June 1, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.