Edgar Filing: Amber Road, Inc. - Form 4

Amber Road, Form 4												
May 15, 2017	Λ										PPROVAL	
	UNIT	ED STATES		ITIES A				IGE (COMMISSION	OMB Number:	3235-0287	
Check this if no long subject to Section 16 Form 4 or Form 5 obligation may conti <i>See</i> Instru	stated average stated average stated pursuant to Section 16(a) of the Securities Exchange Act of 1934, section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940							irs per				
1(b).												
(Print or Type R	esponses)											
Cooke Albert C III Symb			Symbol	2. Issuer Name and Ticker or Trading mbol mber Road, Inc. [AMBR]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (C				(Chec	eck all applicable)				
			(Month/Da 05/11/20	th/Day/Year) 1/2017					Director 10% Owner X Officer (give title Other (specify below) below) VP, Global Sales			
	(Street) 4. If Amendmen Filed(Month/Day					riginal			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
EAST RUTH	HERFORD, N	J 07073							Form filed by M Person	More than One Re	eporting	
(City)	(State)	(Zip)	Table	e I - Non-I	Deriv	ative S	ecurit	ies Aco	quired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Y	ear) Executio any		3. Transact Code (Instr. 8)	tionAc Di) (Ir	isposed nstr. 3, 4	(A) o of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	05/11/2017			M <u>(1)</u>			A	\$0	12,182	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Amber Road, Inc. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day	ate	7. Title and A Underlying S (Instr. 3 and	Securities	8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(2)	05/11/2017		М	4,182	(3)	(3)	Common Stock	4,182	\$

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Cooke Albert C III C/O AMBER ROAD, INC. ONE MEADOWLANDS PLAZA EAST RUTHERFORD, NJ 07073			VP, Global Sales				
Signatures							

/s/ Albert C.	05/15/2017
Cooke III	03/13/2017

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the conversion upon vesting of restricted stock units ("RSUs") into common stock. On February 10, 2016, the reporting
 (1) person was granted 66,909 restricted stock units, of which 1/16th vested on May 11, 2017. The remaining unvested RSUs will continue to vest as to 1/16th of the original number of shares subject to the RSUs on each succeeding quarter until fully vested on February 10, 2020.
- (2) Restricted stock units ("RSUs"). Each RSU represents a contingent right to receive one share of common stock of the registrant.

On February 10, 2016, the reporting person was granted 66,909 RSUs, of which 1/16th vested on May 11, 2017. The remaining unvested(3) RSUs will continue to vest as to 1/16th of the original number of shares subject to the RSUs on each succeeding quarter until fully vested on February 10, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.