Edgar Filing: PROVIDENT FINANCIAL SERVICES INC - Form 4

PROVIDENT FINANCIAL SERVICES INC

Form 4

February 23, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

Check this box if no longer

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

OMB APPROVAL

subject to Section 16. Form 4 or Form 5

SECURITIES Estimated average burden hours per

burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> LYONS THOMAS M

2. Issuer Name **and** Ticker or Trading

Issuer

Symbol

PROVIDENT FINANCIAL SERVICES INC [PFS]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

(First) (Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

____ Director _____ 10% Owner ____ X__ Officer (give title _____ Other (specify

239 WASHINGTON STREET

02/22/2016

below) below) EVP and CFO

(Street) 4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person ___ Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

JERSEY CITY, NJ 07302

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative	Secui	rities Acquii	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	ion Date, if Transactiomr Disposed of (D) Code (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/22/2016		M	10,000	A	\$ 18.55	64,982	D	
Common Stock	02/22/2016		S	100	D	\$ 18.86	64,882	D	
Common Stock	02/22/2016		S	200	D	\$ 18.85	64,682	D	
Common Stock	02/22/2016		S	500	D	\$ 18.84	64,182	D	
Common Stock	02/22/2016		S	173	D	\$ 18.8315	64,009	D	

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Common Stock	02/22/2016	S	1,427	D	\$ 18.83	62,582	D	
Common Stock	02/22/2016	S	700	D	\$ 18.82	61,882	D	
Common Stock	02/22/2016	S	900	D	\$ 18.81	60,982	D	
Common Stock	02/22/2016	S	100	D	\$ 18.805	60,882	D	
Common Stock	02/22/2016	S	700	D	\$ 18.8	60,182	D	
Common Stock	02/22/2016	S	200	D	\$ 18.79	59,982	D	
Common Stock	02/22/2016	S	800	D	\$ 18.78	59,182	D	
Common Stock	02/22/2016	S	400	D	\$ 18.775	58,782	D	
Common Stock	02/22/2016	S	3,300	D	\$ 18.77	55,482	D	
Common Stock	02/22/2016	S	500	D	\$ 18.76	54,982	D	
Common Stock						6,798	I	By IRA
Common Stock						33,707 (1)	I	By 401(k)
Common Stock						10,073 (1)	I	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Code	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
			Code V	(A) (D)		Title

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					Date Exercisable	Expiration Date		Amount or Number of Shares
Stock Options	\$ 18.55	02/22/2016	M	10,000	02/22/2007	02/22/2016	Common Stock	10,000
Stock Options	\$ 10.34				03/04/2013	03/04/2023	Common Stock	12,114 (2)
Stock Options	\$ 17.94				01/29/2008	01/29/2017	Common Stock	11,024 (2)
Stock Options	\$ 10.4				02/03/2010	02/03/2019	Common Stock	2,044 (2)
Stock Options	\$ 12.54				01/29/2009	01/29/2018	Common Stock	1,540 (2)

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
LYONS THOMAS M								

239 WASHINGTON STREET JERSEY CITY, NJ 07302

EVP and CFO

Signatures

/s/ Leonard G. Gleason, Pursuant to Power of Attorney 02/23/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (2) Stock options have fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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