MARSHALL ERNEST W JR

Form 3

March 08, 2019

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB

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Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires:

Estimated average burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Statement

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

MARSHALL ERNEST W JR

(Month/Day/Year)

Eaton Corp plc [ETN]

02/27/2019

4. Relationship of Reporting

5. If Amendment, Date Original

(Last) (First) (Middle)

Person(s) to Issuer

Filed(Month/Day/Year)

1000 EATON BLVD

(Street)

(Check all applicable)

6. Individual or Joint/Group

Director _X__ Officer

Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person (give title below) (specify below) EVP and CHRO

10% Owner

Other

Form filed by More than One

Reporting Person

CLEVELAND. OHÂ 44122

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

Beneficially Owned (Instr. 4)

2. Amount of Securities

Ownership Form:

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Date Exercisable Expiration

Date

Amount or Title Number of Shares

Derivative Security: Security Direct (D) or Indirect

(I) (Instr. 5)

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| Restricted Stock Units | 08/01/2019(1) | (2) | Ordinary Shares | 17,850 | \$ 0 | D | Â |
|------------------------|---------------|------------|--------------------|--------|----------|---|---|
| Restricted Stock Units | | | Snares | 3,470 | \$ 0 | D | Â |
| Stock Option | 02/26/2020(4) | 02/26/2029 | Ordinary Shares | 19,750 | \$ 80.49 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | |
|--|---------------|-----------|--------------|-------|--|
| Transfer de la companya de la compan | Director | 10% Owner | Officer | Other | |
| MARSHALL ERNEST W JR 1000 EATON BLVD CLEVELAND, OH 44122 | Â | Â | EVP and CHRO | Â | |

Signatures

/s/ Lizbeth L. Wright, as Attorney-in-Fact

03/08/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These restricted stock units vest as follows: 33% on the first and second anniversary of the date of the grant and the remaining 34% on the third anniversary of the date of the grant.
- (2) This field is not applicable.
- (3) These restricted stock units were granted on February 26, 2019 and vest as follows: 33% on the first and second anniversary of the grant date and the remaining 34% on the third anniversary of the grant date.
- (4) These stock options become exercisable as follows: 33% on the first and second anniversary of the date of the grant and the remaining 34% on the third anniversary of the date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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