### Edgar Filing: Advaxis, Inc. - Form 4

<b>STATEM</b> Filed purs	ENT OF suant to S	Was F CHAN Section 10 Public Ut	<b>Shington,</b> GES IN I SECUR 6(a) of the ility Hold	D.C. 20 BENEFI ITIES Securit ing Con	549 [CIA ies E ipany	<b>L OW</b> xchange Act of	NERSHIP OF e Act of 1934, 1935 or Section	OMB Number: Expires: Estimated a burden hour response	•		
nses)											
Mayes, Gregory T. Symbol				bl				5. Relationship of Reporting Person(s) to Issuer			
(First) (M	liddle)	3. Date of	Earliest Tra	ansaction			(Chec	k all applicable	)		
			-				Director 10% Owner X Officer (give title Other (specify below) below) Chief Operating Officer				
(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul>							
NJ 08540							Form filed by M Person	Iore than One Re	porting		
(State) (2	Zip)	Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned		
	Execution	n Date, if	3. Transactio Code (Instr. 8)	n(A) or Di	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)				
31/2015					(D) A	Price \$		D			
5172013			11	112 ()	11	14.44	150,755	D			
31/2015			F	14 (2)	D	\$ 14.44	138,441	D			
	STATEM Filed purs Section 17(a nses) s of Reporting F T. First) (M ROAD EAST Street) IJ 08540 State) (( ransaction Date nth/Day/Year) 31/2015	STATEMENT OF Filed pursuant to S Section 17(a) of the I 30(h) nses) s of Reporting Person * T. First) (Middle) ROAD EAST Street) U 08540 State) (Zip) ansaction Date (A. Deen nth/Day/Year) 2A. Deen Execution any (Month/E	Was         STATEMENT OF CHAN         Filed pursuant to Section 14         Section 17(a) of the Public Ut         30(h) of the Im         Isses)         s of Reporting Person 1         State)         (Middle)         Street)         (Alt Americation Date)         State)         (Zip)         Table         ansaction Date         2A. Deemed         anth/Day/Year)         Stat2015	Washington,         STATEMENT OF CHANGES IN I SECUR         Filed pursuant to Section 16(a) of the Section 17(a) of the Public Utility Hold 30(h) of the Investment of 30(h) of the Investment of 30(h) of the Investment of 40 and the Section 17(a) of the Public Utility Hold 30(h) of the Investment of 30(h) of the Investment of 40 and the Section 17(a) of the Public Utility Hold 30(h) of the Investment of 40 and the Section 17(a) of the Public Utility Hold 30(h) of the Investment of 40 and the Section 17(a) of the Public Utility Hold 30(h) of the Investment of 40 and the Section 17(a) of the Public Utility Hold 30(h) of the Investment of 40 and the Section 17(a) of the Investment of 40 and the Section 3.         street)       4. If Amendment, Date Filed(Month/Day/Year)         Street)       4. If Amendment, Date Filed(Month/Day/Year)         VJ 08540       5tate)       3.         State)       (Zip)       Table I - Non-D         ransaction Date       2A. Deemed       3.         nth/Day/Year)       Execution Date, if       Transaction any         any       Code       (Month/Day/Year)         State)       (Zip)       Table I - Non-D         ansaction Date       2A. Deemed       3.         any       Code       X         A       Koote       X	Washington, D.C. 20         STATEMENT OF CHANGES IN BENEFT SECURITIES         Filed pursuant to Section 16(a) of the Securit Section 17(a) of the Public Utility Holding Com 30(h) of the Investment Compan 30(h) of the Investment Compan (a)         Isses         S of Reporting Person <sup>*</sup> 2. Issuer Name and Ticker or T.         Symbol         Advaxis, Inc. [ADXS]         First)       (Middle)         3. Date of Earliest Transaction (Month/Day/Year)         ROAD EAST       03/30/2015         Street)       4. If Amendment, Date Original Filed(Month/Day/Year)         NJ 08540         State)       (Zip)         Table I - Non-Derivative 1 ansaction Date, if Transaction(A) or Di any         Code       (Instr. 3, (Month/Day/Year)         Month/Day/Year)       (Instr. 8)	Washington, D.C. 20549         STATEMENT OF CHANGES IN BENEFICIA SECURITIES         Filed pursuant to Section 16(a) of the Securities E Section 17(a) of the Public Utility Holding Company 30(h) of the Investment Company Ac         Inservation Company Ac          Inservation Company Ac	Washington, D.C. 20549         STATEMENT OF CHANGES IN BENEFICIAL OWN SECURITIES         Filed pursuant to Section 16(a) of the Securities Exchange Section 17(a) of the Public Utility Holding Company Act of 30(h) of the Investment Company Act of 194         Investment Company Act of 194	Washington, D.C. 20549         STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES         Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940         Inset: 2. Issuer Name and Ticker or Trading T.         Symbol         Symbol         Advaxis, Inc. [ADXS]         Chector X. Officer (give below)         Officer (give below)         Chector X. Officer (give below)         Officer (give below)         Table I - Non-Derivative Securities Acquired S. Amount of Securities Acquired Following Reported Transaction(s) (Instr. 8)         (A) Timaction(A) or Disposed of (D) Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3)         A 112 (1) A S 138 441	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Advaxis, Inc. [ADXS] First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) COAD EAST 03/30/2015 State) (Zip) Table 1 - Non-Derivative Securities Acquired Advavia, Inc. [ADXS] State) (Zip) Table 1 - Non-Derivative Securities Acquired ansaction Date 2A. Deemed ansaction Date 2A. Deemed ansaction Date 2A. Deemed ansaction Date 2A. Deemed ansaction Date 2A. Deemed Advavia, Inc. [ADXS] State) (Zip) Table 1 - Non-Derivative Securities Acquired (Instr. 3, 4 and 5) (Month/Day/Year) (Month/Day/Yea		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount o Number o Shares
Options	\$ 13.44	03/30/2015		А	304,060 (3)	03/30/2015	03/30/2025	Common Stock	304,06

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Mayes, Gregory T. 305 COLLEGE ROAD EAST PRINCETON,, NJ 08540			Chief Operating Officer					
Signatures								
/s/ Sara Bonstein, as attorney-ir Mayes	Sara Bonstein, as attorney-in-fact for Gregory ves							
**Signature of Reporting	Date							

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person voluntarily purchases restricted stock directly from the Company at market price on the last trading day of the month.
- (2) Represents shares forfeited by the reporting person in order to pay withholding taxes associated with the stock issuance.
- (3) The award vests one-fourth immediately, one-fourth on March 30, 2016, one-fourth on March 30, 2017, and will be fully vested on March 30, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.