Proto Labs Inc Form 4 April 02, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * Goodman John B

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(First) (Middle)

Proto Labs Inc [PRLB] 3. Date of Earliest Transaction

(Check all applicable)

5540 PIONEER CREEK DRIVE

(Month/Day/Year) 01/06/2014

_X__ Director 10% Owner Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check Applicable Line)

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

MAPLE PLAIN, MN 55359

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	e Secu	rities Acqui	red, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	01/06/2014		Code V M	Amount 2,000	(D)	Price \$ 4.27	8,250	D	
Common Stock	01/06/2014		M	1,762	A	\$ 30.58	10,012	D	
Common Stock	01/06/2014		S <u>(1)</u>	3,310	D	\$ 73.0462 (2)	6,702	D	
Common Stock	01/06/2014		S <u>(1)</u>	452	D	\$ 73.6088	6,250	D	
Common	04/01/2014		M	2,000	A	\$ 4.27	8,250	D	

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Common Stock	04/01/2014	S(1)	2,000	D	\$ 67.98	6,250	D
Common Stock	04/02/2014	M	1,000	A	\$ 30.58	7,250	D
Common Stock	04/02/2014	S(1)	1,000	D	\$ 70.18	6,250	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number nsaction of Derivative le Securities ttr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 4.27	01/06/2014		M		2,000	<u>(4)</u>	07/10/2017	Common Stock	2,000
Employee Stock Option (right to buy)	\$ 30.58	01/06/2014		M		1,762	<u>(4)</u>	05/07/2022	Common Stock	2,000
Employee Stock Option (right to buy)	\$ 4.27	04/01/2014		M		2,000	<u>(4)</u>	07/10/2017	Common Stock	2,000
Employee Stock Option	\$ 30.58	04/02/2014		M		1,000	<u>(4)</u>	05/07/2022	Common Stock	1,000

(right to buy)

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Goodman John B 5540 PIONEER CREEK DRIVE X MAPLE PLAIN, MN 55359

Signatures

/s/ Samuel A. Rosenbaum, attorney-in-fact for John B. Goodman

04/02/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sale effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on December 5, 2013.
- Reflects the weighted average price of 3,310 shares of common stock of Proto Labs, Inc. sold by the reporting person on January 6, 2014 with sale prices ranging from \$72.50 to \$73.50 per share. The reporting person undertakes to provide upon request by the U.S. Securities and Exchange Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- Reflects the weighted average price of 452 shares of common stock of Proto Labs, Inc. sold by the reporting person on January 6, 2014 with sale prices ranging from \$73.60 to \$73.66 per share. The reporting person undertakes to provide upon request by the U.S. Securities and Exchange Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (4) Fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3