CTI INDUSTRIES CORP

Form 4 January 07, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** obligations

may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Print or Type Responses)

(Last)

Security

(Instr. 3)

1. Name and Address of Reporting Person * Roos Phil D

(First)

(Street)

(Middle)

CTI INDUSTRIES CORP [CTIB]

(Check all applicable)

3. Date of Earliest Transaction

(Month/Day/Year) 01/05/2011

Officer (give title below)

Other (specify

10% Owner

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

22160 N. PEPPER ROAD

4. If Amendment, Date Original

Applicable Line)

X Director

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

ARLINGTON HEIGHTS, IL 60010

(City) (State) (Zip) 1. Title of 2. Transaction Date 2A. Deemed

4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Code Disposed of (D) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Indirect Beneficial Owned Ownership Following (Instr. 4) (Instr. 4)

Reported (A) Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 4. Derivative Conversion (Month/Day/Year) Execution Date, if Security or Exercise (Instr. 3) Price of (Month/Day/Year)

Transactionof Code Derivative (Instr. 8) Securities

5. Number 6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of 8. P **Underlying Securities** (Instr. 3 and 4)

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	Derivative Security			Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	ı,			
			Code V	(A) (D) Date Exercisable	Expiration Date	Title	Amount or Number of Shares
2009 Stock Option Grant - Right to Buy	\$ 5.96	01/05/2011	A	500	07/05/2011	01/05/2015	Common Stock	500
2009 Stock Option Grant - Right to Buy	\$ 5.96	01/05/2011	A	500	07/05/2012	01/05/2015	Common Stock	500
2009 Stock Option Grant - Right to Buy	\$ 5.96	01/05/2011	A	500	07/05/2013	01/05/2015	Common Stock	500
2009 Stock Option Grant - Right to Buy	\$ 5.96	01/05/2011	A	500	07/05/2014	01/05/2015	Common Stock	500

Reporting Owners

Reporting Owner Name / Address	Relationships					
--	Director	10% Owner	Officer	Other		
Roos Phil D 22160 N. PEPPER ROAD ARLINGTON HEIGHTS, IL 60010	X					
Cianatura						

Signatures

Jonathan K. Miller, Attorney in Fact for Phil D. Roos 01/07/2011

**Signature of Reporting Person Date

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.