Edgar Filing: HAUGEN ROBERT W - Form 5

HAUGEN ROBERT W

Form 5

September 25, 2012

FORM	15							OMB AF	PROVAL		
		STATES SECU				GE CO	MMISSION	OMB Number:	3235-0362		
Check the		W	Vashington, D.C. 20549					Expires:	January 31,		
to Section Form 4 o 5 obligati may cont See Instru	n 16. r Form ANN ons inue.	ENT OF CHANGES IN BENE RSHIP OF SECURITIES				FICIAL	Estimated a burden hour response	~			
1(b).	Filed pur foldings Section 17(rsuant to Section (a) of the Public U 30(h) of the I	Utility Holdin	ng Compa	any A	Act of 1		1			
	Address of Reporting ROBERT W	Name and Ticker or Trading NERGY INC [CVI]				5. Relationship of Reporting Person(s) to Issuer					
(Last)	(First) (3. Statement for Issuer's Fiscal Year Ended				(Check all applicable)				
		(Month) 06/13/	/Day/Year)			_	Director _X Officer (give		Owner r (specify		
	ENERGY, INC.,Â RIVE, SUITE 500	X 2277	2012				elow)	below) efining Operation			
	(Street)		nendment, Date (onth/Day/Year)	Original		6	. Individual or Jo	int/Group Repo	orting		
SUGAR L	AND, TX 774	79				_	X_ Form Filed by C Form Filed by M erson				
(City)	(State)	(Zip) Ta	ble I - Non-Der	ivative Sec	curitie	es Acqui	red, Disposed of	, or Beneficiall	y Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code		isposed of (D) Securities 4 and 5) Beneficially		Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and	Ownership Form: Direct	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	06/13/2012	Â	P	1	A	\$ 24.54	43,095	D	Â		
	port on a separate line eficially owned direct		contained	in this for	m are	e not re	lection of inform quired to respondid OMB contro	ond unless	SEC 2270 (9-02)		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: HAUGEN ROBERT W - Form 5

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amoun	t of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securiti	ies	(Instr. 5)
	Derivative				Securities			(Instr. 3	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
								A	Amount	
								C	or	
						Date	Expiration		Number	
						Exercisable	Date		of	
					(A) (D)				Shares	
					(/ (- /					

of D

Fi (I

Reporting Owners

Reporting Owner Name / Address	Relationships						
treporting of their transfer and the	Director	10% Owner	Officer	Other			
HAUGEN ROBERT W C/O CVR ENERGY, INC.	â	â	EVP, Refining Operations	â			
2277 PLAZA DRIVE, SUITE 500 SUGAR LAND Â TXÂ 77479	А	A	A EVF, Kerning Operations				

Signatures

/s/ Edmund S. Gross, Attorney-in-fact 09/25/2012

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2