Edgar Filing: RAWLINGS DARRYL - Form 4

Form 4 Pebruary 07, 2019 OMB APPROVAL FORM 4 Pebruary 07, 2019 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Check this box if no longer subject to Section 16. Form 4 or Porm 5 or entroper to subject to 16. Form 4 or Porm 5 or Porm 6 or Porm 1 or Porm 6 or Porm 1	RAWLINGS	S DARRYL										
FORM 4 UNITED STATES SECURITIES NO EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Number: 2025 2025 2025 2025 2025 2025 2025 2026 2026												
Check this box if no longer subject to section 16. Form 4 or Form 4 or Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES MB Number: 2005 SECURITIES Statement our sper response 1. Name and Address of Reporting Person_ 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934) 1(b). 5. Relationship of Reporting Person(s) to Issuer 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person_ (b). 2. Issuer Name and Ticker or Trading Symbol TRUPANION, INC. [TRUP] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 5. Relationship of Reporting Person(s) to Issuer (Corr TUPANION, INC., 6100 4TH AVENUE SOUTH, SUITE 200 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) -X. Form filed by More Reporting Person Person (City) (State) (Zip) Table 1 - Non-Derivative Securities Acquired Month/Day/Year) 5. Amount of Security (Month/Day/Year) 6. Amount of Security (Mont	•											
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$\mathbf{N}(1) = \mathbf{N}(1) + \mathbf{N}$	~			Code V	Amount	(D)		(insu: 5 and 4)				
Stock 28.45		02/06/2019		S (1)	4,000	D		1,551,421	D			
	STOCK						28.45					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		Amou Under Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
Reporting O whet Funder Fred too	Director	10% Owner	Officer	Other			
RAWLINGS DARRYL C/O TRUPANION, INC. 6100 4TH AVENUE SOUTH, SUITE 200 SEATTLE, WA 98108	Х		President and CEO				
Signatures							
/s/ Charlotte Sim-Warner as attorney-in-fact for Darryl Rawlings			02/07/2019				
<u>**</u> Signature of Reporting Person			Date				
Explanation of Posponso							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The sales reported were effected pursuant to a Rule 10b5-1 trading plan adopted by reporting person on November 13, 2018, in order to implement a plan of financial diversification. Accordingly, the reporting person had no discretion with regard to the timing of the

(1) transaction. In aggregate, the reporting person's plan is Rule 10b5-1 trading plan is part of a diversification strategy on the part of the reporting person to diversify up to 25% of the reporting person's holdings as of the date of the Company's initial public offering in July 2014 from the inception of the Rule 10b5-1 trading plan through 2025.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.