ChromaDex Corp. Form SC 13D November 03, 2008

> OMB APPROVAL OMB Number: 3235-0145 Expires: February 28, 2009 Estimated average burden hours per response...14.5

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### **SCHEDULE 13D**

## Under the Securities Exchange Act of 1934 (Amendment No. \_\_)\*

CHROMADEX CORPORATION (Name of Issuer) COMMON STOCK (Title of Class of Securities) 171077100 (CUSIP Number) Black Sheep FLP c/o Frank L. Jaksch, Jr. 8 Garzoni Aisle Irvine, CA 92606 (Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications) 10/20/2008 (Date of Event Which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of §§240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box. o

**Note:** Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See Rule 13d-7 for other parties to whom copies are to be sent.

\* The remainder of this cover page shall be filled out for a reporting person s initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 (Act) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

#### NAMES OF REPORTING PERSONS

Plac

Black Sheep FLP

# CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS)

2

1

(a) þ

(b) o Joint Filing

SEC USE ONLY

3

# SOURCE OF FUNDS (SEE INSTRUCTIONS)

## 4

Not applicable

# CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e)

5

0

# CITIZENSHIP OR PLACE OF ORGANIZATION

# 6

a California family limited partnership

# SOLE VOTING POWER

# 7

NUMBER OF -0-

SHARES		SHARED VOTING POWER
BENEFICIALLY	8	
OWNED BY		6,225,155
EACH		SOLE DISPOSITIVE POWER
REPORTING	9	

## Edgar Filing: ChromaDex Corp. - Form SC 13D

PERSON -0-

WITH SHARED DISPOSITIVE POWER

10

6,225,155

# AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

11

6,225,155

CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)

12

0

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

13

21.5%\*

TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

14

PN

\* Percentage calculated on the basis of 28,830,216 shares of common stock issued and outstanding on October 14, 2008.

#### NAMES OF REPORTING PERSONS

Tric

Tricia A. Jaksch

## CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS)

2

1

(a) þ

(b) o Joint Filing

SEC USE ONLY

3

## 4

Not applicable

CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e)

5

0

CITIZENSHIP OR PLACE OF ORGANIZATION

# 6

USA

# SOLE VOTING POWER

7

NUMBER OF -0-

- SHARES SHARED VOTING POWER
- BENEFICIALLY 8 OWNED BY 6,225,155

EACH SOLE DISPOSITIVE POWER REPORTING 9

## Edgar Filing: ChromaDex Corp. - Form SC 13D

PERSON -0-

WITH SHARED DISPOSITIVE POWER

10

6,225,155

# AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

11

6,225,155

CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)

12

0

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

13

21.5%\*

TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

14

IN

\* Percentage calculated on the basis of 28,830,216 shares of common stock issued and outstanding on October 14, 2008.

#### NAMES OF REPORTING PERSONS

Frank L. Jaksch, Jr.

## CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS)

2

1

(a) þ

(b) o Joint Filing

SEC USE ONLY

3

## 4

Not applicable

CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e)

5

0

CITIZENSHIP OR PLACE OF ORGANIZATION

# 6

USA

7

NUMBER OF 120,000

- SHARES SHARED VOTING POWER
- BENEFICIALLY 8 OWNED BY 7,654,155

EACH SOLE DISPOSITIVE POWER REPORTING 9 PERSON 120,000

WITH SHARED DISPOSITIVE POWER

10

7,654,155

# AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

11

7,774,155

CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)

12

0

# PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

13

27.0%\*

# TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

14

IN

\* Percentage calculated on the basis of: (i) 28,830,216 shares of common stock issued and outstanding on October 14, 2008, plus (ii) 120,000 shares of common stock and securities convertible into or exercisable for shares of common stock by Frank L. Jaksch, Jr. within 60 days of the date hereof.

#### NAMES OF REPORTING PERSONS

Jaksch Family Trust, Frank L. Jaksch, Sr. and Maria Jaksch, Trustees

#### CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS)

2

1

(a) þ

(b) o Joint Filing

SEC USE ONLY

3

#### 4

Not applicable

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CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e)
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5

0

CITIZENSHIP OR PLACE OF ORGANIZATION

# 6

United States

# SOLE VOTING POWER

# 7

NUMBER OF -0-

- SHARES SHARED VOTING POWER BENEFICIALLY 8 OWNED BY 1,429,000
  - EACH SOLE DISPOSITIVE POWER REPORTING 9

## Edgar Filing: ChromaDex Corp. - Form SC 13D

PERSON -0-

WITH SHARED DISPOSITIVE POWER

10

1,429,000

# AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

11

1,429,000

CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)

12

0

## PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

13

4.9%\*

TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

14

00

\* Percentage calculated on the basis of: 28,830,216 shares of common stock issued and outstanding on October 14, 2008.

## Item 1. Security and Issuer

This statement refers to the common stock of ChromaDex Corporation, 10005 Muirlands Boulevard, Suite G First Floor, Irvine California, 92618.

# Item 2. Identity and Background

Black Sheep, FLP is a California family limited partnership the purpose of which is to hold and manage the capital assets of the partners including investing in marketable securities and investing and holding real property. Each of the partnership s and individual reporting person s address is as follows: 8 Garzoni Aisle, Irvine, CA 92606. The Jaksch Family Trust address is as follows: 70 Pienza, Laguna Niguel, CA 92677. The principal occupation of Frank L. Jaksch, Jr. is to serve as Co-Chairman of the Board, Chief Executive Officer and President of the Issuer. The principal occupation of Tricia A. Jaksch is to serve as Marketing Manager of NSF International. Each of the individual reporting persons is a citizen of the United States of America.

None of the reporting persons have been, within the last five years, convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) or been a party to a civil proceeding of a judicial or administrative body of competent jurisdiction and as a result of such proceeding been or are subject to a judgment, decree or final order enjoining future violations of, or prohibiting or mandating activities subject to, federal or state securities laws or finding any violations with respect to such laws.

# Item 3. Source and Amount of Funds or Other Consideration

On October 20, 2008, Frank L. Jaksch, Jr. gifted 6,225,155 shares of Issuer that he owned in his own name to Black Sheep, FLP. No payment was or is contemplated to be made to Frank L. Jaksch, Jr. in connection with Black Sheep, FLP s receipt of the shares.

Frank L. Jaksch, Jr. obtained the 6,225,155 shares personally owned by him, and the Jaksch Family Trust, Frank L. Jaksch, Sr. and Maria Jaksch Trustees, of which Frank L. Jaksch, Jr. is sole beneficiary and holds shared voting power, obtained 1,429,000 shares solely as the result of a merger transaction involving the Issuer prior to it becoming a reporting company under the Securities Exchange Act of 1934.

## Item 4. Purpose of Transaction

Black Sheep, FLP is a family limited partnership the general purpose of which is to hold and manage the capital assets of the partners including investing in marketable securities and investing and holding real property. Pursuant to that certain Limited Partnership Agreement of Black Sheep, FLP, dated June 25, 2008, Frank L. Jaksch, Jr. and Tricia A. Jaksch are the sole co-general partners of Black Sheep, FLP, and Frank L. Jaksch, Jr. and Tricia A. Jaksch and the 2008 Jaksch Family Trust UTD June 25, 2008, Frank L. Jaksch, Jr. and Tricia A. Jaksch Family Trust UTD June 25, 2008, Frank L. Jaksch, Jr. and Tricia A. Jaksch Family Trust UTD June 25, 2008, Frank L. Jaksch, Jr. and Tricia A. Jaksch Trustees are the sole limited partners of Black Sheep, FLP.

Frank L. Jaksch, Jr. personally owns 60,000 options to purchase common stock of the Issuer, exercisable at any time, at his discretion and 60,000 additional options become exercisable annually on December 1 through 2011. 175,000 options to purchase common stock of the Issuer become exercisable on April 21, 2009 and 10,937 options to purchase common stock of the Issuer become exercisable monthly thereafter, except that 10,938 options become exercisable every other month up to 700,000 total options. In addition, 37,500 options to purchase common stock of the Issuer become exercisable monthly thereafter, except that 2,344 options become exercisable every other month and 2,345 options become exercisable every fourth month up to 150,000 total options.

The reporting persons have acquired the securities covered by this schedule for investment purposes. The reporting persons reserve the right, consistent with applicable law, to acquire additional securities of ChromaDex Corporation (whether through open market purchases, block trades, private acquisitions, tender or exchange offers or otherwise), to seek to influence the management or policies of ChromaDex Corporation, to dispose of their securities of ChromaDex Corporation or to formulate other purposes, plans or proposals regarding ChromaDex Corporation and any of its securities, in each case in light of the reporting persons continued evaluation of ChromaDex Corporation, market conditions and other factors. Such actions could result in one or more of the transactions described in sub-paragraph (a) through (j) of Item 4 of Schedule 13D, including without limitation (a), (b), (d), (h) and (i) thereof.

## Item 5. Interest in Securities of the Issuer

- (a) The aggregate amount and percentage of the common stock of ChromaDex Corporation beneficially owned by each of the reporting persons covered by this report is disclosed above on the cover pages hereto.
- (b) Each of the reporting persons has sole/shared power to vote or to direct the vote and dispose or to direct the disposition of that number of shares disclosed above on the cover pages hereto.
- (c) Frank L. Jaksch, Jr. gifted 6,225,155 shares of common stock of the Issuer to Black Sheep, FLP on October 20, 2008.
- (d) No person other than the reporting persons has the right to receive or power to direct the receipt of dividends from, or the proceeds of the sale of any of the securities.

#### (e) Not applicable.

**Item 6.** Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer Pursuant to the certain Limited Partnership Agreement of Black Sheep, FLP, dated June 25, 2008, by and between Frank L. Jaksch, Jr. and Tricia A. Jaksch, the Co-General Partners and Frank L. Jaksch, Jr., Tricia A. Jaksch and Frank L. Jaksch, Jr. and Tricia A. Jaksch, Trustees of the 2008 Jaksch Faimly Trust UTD June 25, 2008, the Limited Partners , (1) Frank L. Jaksch, Jr. and Tricia A. Jaksch as Co-General Partners of Black Sheep, FLP, have the power to sell the partnership assets and exercise Black Sheep, FLP s voting rights in the common stock of the Issuer by a majority vote, and (2) profits, losses and distributive shares of tax items shall be allocated to the Partners *pro rata* based upon their respective percentage interest, in the partnership during the period over which such profits, losses and tax items were accrued.

#### Item 7. Material to Be Filed as Exhibits

#### Exhibit No. Description

1 Joint filing statement of the reporting persons.

## SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct. Dated: October 30, 2008

# Black Sheep, FLP, a California family limited partnership

By: /s/ Frank L. Jaksch, Jr.

Name:Frank L. Jaksch, Jr.Title:General Partner

/s/ Frank L. Jaksch, Jr.

#### Frank L. Jaksch, Jr.

/s/ Tricia A. Jaksch

#### Tricia A. Jaksch

### **Jaksch Family Trust**

By: /s/ Frank L. Jaksch, Jr.

Name: Frank L. Jaksch, Jr. Title: Authorized signatory

# EXHIBIT INDEX

# Exhibit No. Description

1 Joint filing statement of the reporting persons.