

Williams Rosemary Y.  
Form 3  
January 04, 2019

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Â Williams Rosemary Y.  
(Last) (First) (Middle)

2. Date of Event Requiring Statement  
(Month/Day/Year)  
08/16/2018

3. Issuer Name and Ticker or Trading Symbol  
BELLICUM PHARMACEUTICALS, INC [BLCM]

4. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

5. If Amendment, Date Original Filed(Month/Day/Year)

C/O BELLICUM  
PHARMACEUTICALS,  
INC.,Â 2130 W. HOLCOMBE  
BLVD., STE. 800  
(Street)

Director  10% Owner  
 Officer  Other  
(give title below) (specify below)  
Principal Accounting Officer

HOUSTON,Â TXÂ 77030

(City) (State) (Zip)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock                       | 3,186  | D   | Â  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security | 4. Conversion or Exercise | 5. Ownership Form of | 6. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|---|---|--|---------------------------|----------------------|--|
|---|---|--|---------------------------|----------------------|--|

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|                             | Date Exercisable | Expiration Date | (Instr. 4)<br>Title | Amount or Number of Shares | Price of Derivative Security | Derivative Security: Direct (D) or Indirect (I) (Instr. 5) |   |
|-----------------------------|------------------|-----------------|---------------------|----------------------------|------------------------------|--|---|
| Stock Option (right to buy) | Â <u>(1)</u>     | 04/30/2024      | Common Stock        | 17,647                     | \$ 2.55                      | D  | Â |
| Stock Option (right to buy) | Â <u>(2)</u>     | 10/27/2024      | Common Stock        | 11,764                     | \$ 7.47                      | D  | Â |
| Stock Option (right to buy) | Â <u>(3)</u>     | 02/23/2025      | Common Stock        | 14,000                     | \$ 23.47                     | D  | Â |
| Stock Option (right to buy) | Â <u>(4)</u>     | 01/03/2026      | Common Stock        | 15,000                     | \$ 19                        | D  | Â |
| Stock Option (right to buy) | Â <u>(5)</u>     | 01/02/2027      | Common Stock        | 5,625                      | \$ 13.89                     | D  | Â |
| Stock Option (right to buy) | Â <u>(6)</u>     | 07/02/2027      | Common Stock        | 13,125                     | \$ 11.7                      | D  | Â |
| Stock Option (right to buy) | Â <u>(7)</u>     | 01/01/2028      | Common Stock        | 9,225                      | \$ 9.23                      | D  | Â |
| Stock Option (right to buy) | Â <u>(8)</u>     | 07/01/2028      | Common Stock        | 21,525                     | \$ 7.72                      | D  | Â |
| Restricted Stock Units      | Â <u>(9)</u>     | Â <u>(9)</u>    | Common Stock        | 2,344                      | \$ <u>(10)</u>               | D  | Â |
| Restricted Stock Units      | Â <u>(11)</u>    | Â <u>(11)</u>   | Common Stock        | 5,125                      | \$ <u>(10)</u>               | D  | Â |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                                |       |
|---|---------------|-----------|--------------------------------|-------|
|   | Director      | 10% Owner | Officer                        | Other |
| Williams Rosemary Y.<br>C/O BELLICUM PHARMACEUTICALS, INC.<br>2130 W. HOLCOMBE BLVD., STE. 800<br>HOUSTON, TX 77030 | Â             | Â         | Â Principal Accounting Officer | Â     |

## Signatures

Rosemary Y. Williams  
01/04/2019

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 25% of the shares subject to the stock option vest and become exercisable on May 1, 2015, and the remaining shares subject to the stock option vest and become exercisable in 36 equal monthly installments thereafter.
- (2) 25% of the shares subject to the stock option vest and become exercisable on October 28, 2015, and the remaining shares subject to the stock option vest and become exercisable in 36 equal monthly installments thereafter.
- (3) 25% of the shares subject to the stock option vest and become exercisable on February 24, 2016, and the remaining shares subject to the stock option vest and become exercisable in 36 equal monthly installments thereafter.
- (4) 25% of the shares subject to the stock option vest and become exercisable on January 4, 2017, and the remaining shares subject to the stock option vest and become exercisable in 36 equal monthly installments thereafter.
- (5) 25% of the shares subject to the stock option vest and become exercisable on January 3, 2018, and the remaining shares subject to the stock option vest and become exercisable in 36 equal monthly installments thereafter.
- (6) 25% of the shares subject to the stock option vest and become exercisable on July 03, 2018, and the remaining shares subject to the stock option vest and become exercisable in 36 equal monthly installments thereafter.
- (7) 25% of the shares subject to the stock option vest and become exercisable on January 2, 2019, and the remaining shares subject to the stock option vest and become exercisable in 36 equal monthly installments thereafter.
- (8) 25% of the shares subject to the stock option vest and become exercisable on July 02, 2019, and the remaining shares subject to the stock option vest and become exercisable in 36 equal monthly installments thereafter.
- (9) On January 3, 2017, the reporting person was granted restricted stock units (the "RSUs") for 3,125 shares. The RSUs vest in four annual installments beginning on January 3, 2018.
- (10) Each RSU represents a contingent right to receive one share of the Issuer common stock.
- (11) On January 2, 2018, the reporting person was granted the RSUs for 5,125 shares. The RSUs vest in four equal annual installments beginning on January 2, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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