Edgar Filing: Kraus Peter S - Form 4

Kraus Peter S Form 4													
December 20, FORM Check this if no longer	4 UNITE			SECURI Wash	OMB API OMB Number: Expires:	3235-0287 January 31,							
Subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Estimated average Section 16. SECURITIES burden hours per										•			
Kraus Peter S Symbol ALLIA				Symbol ALLIAN	L L L L L L L L L L L L L L L L L L L					. Relationship of Reporting Person(s) to ssuer (Check all applicable)			
(Me				(Month/Dav/Year) -2					_X Director 10% Owner _X Officer (give title Other (specify below) Chairman and CEO				
(Street) 4. If Ameno Filed(Month NEW YORK, NY 10105					h/Day/Year) Ap _X					Individual or Joint/Group Filing(Check pplicable Line) X_ Form filed by One Reporting Person _ Form filed by More than One Reporting erson			
(City)	(State)	(Zij	p)	Table	I - Non-D)er	rivative Sec	urities	s Acquire	d, Disposed of,	or Beneficially	Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any (Month/Day/Year)			on Date, if	3. Transact Code (Instr. 8)	io	4. Securitie for Disposed (Instr. 3, 4	d of (È))	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
units rep. assignments of beneficial owner. of lp interests (1)	12/17/2010	,			Code V F <u>(2)</u>		Amount 277,487 (2)	(A) or (D) D	Price \$ 22.62 (2)	Transaction(s) (Instr. 3 and 4) 1,633,231 (3)	(Instr. 4)	by rabbi trust	
Holding Units (1)										531,071 <u>(3)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Tit	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amou	int of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
									or		
						Date	Expiration	Title	Number		
						Exercisable	Date	11110	of		
				Code V	(A) (D)				Shares		
				0000	() (D)				0111100		

Reporting Owners

Reporting Owner Name / Address	Relationships						
FB	Director	10% Owner	Officer	Other			
Kraus Peter S C/O ALLIANCEBERNSTEIN L.P. 1345 AVENUE OF THE AMERICAS NEW YORK, NY 10105	Х		Chairman and CEO				
Signatures							
/s/ Laurence E. Cranch, by pwr. of att'y	12/2	20/2010					
**Signature of Reporting Person	I	Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Units representing assignments of beneficial ownership of limited partnership interests in AllianceBernstein Holding L.P. ("Holding Units").

The employment agreement between Reporting Person, AllianceBernstein Corporation, AllianceBernstein L.P. ("AllianceBernstein") and AllianceBernstein Holding L.P., dated as of December 19, 2008 ("Kraus Employment Agreement"), stipulates that applicable taxes in respect of each vesting of an installment of restricted Holding Units will be satisfied by AllianceBernstein retaining the portion of the

(2) restricted Holding Units in such installment having a fair market value (based on the closing price of a Holding Unit on the vesting date or the trading day immediately preceding the vesting date in the event the vesting date is not a trading day) equal to the tax withholding obligations on the installment of restricted Holding Units vesting on such date. Accordingly, AllianceBernstein withhheld 277,487 Holding Units from Reporting Person's distribution based on the closing price of a Holding Unit on December 17, 2010.

Edgar Filing: Kraus Peter S - Form 4

(3) On December 17, 2010, 266,924 Holding Units previously owned by Reporting Person through a rabbi trust related to the restricted Holding Unit award he received under the Kraus Employment Agreement were distributed to him.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.