## Edgar Filing: ALLIANCE CAPITAL MANAGEMENT HOLDING LP - Form 4

## ALLIANCE CAPITAL MANAGEMENT HOLDING LP

Form 4

December 09, 2004

FORM 4 LINITED STATES SECURITIES AND EXCHANGE COMMISSION									OMB APPROVAL			
	Washington, D.C. 20549							MISSION	OMB Number:	3235-0287		
Check this box if no longer  CTA THEN TENTE OF CHANGES IN DENIETICIAL COMPLETED.							Expires:	January 31				
subject to Section 1 Form 4 or	6. <b>SIAI</b>	TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Estimated average burden hours per response 0.			
Form 5 obligation may continue <i>See</i> Instruction 1(b).	ns Section 1	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  20(b) of the Investment Company Act of 1940										
(Print or Type R	Responses)											
GORDON MARK R Syn			Symbol	•				5. Relationship of Reporting Person(s) to Issuer				
				TAL HOLDING	LP		(Check	ck all applicable) 10% Owner				
(Last)	(First)	(Middle)	5. Date of Earnest Transaction below)						e title Other (specify below) f General Partner			
	R. LLIANCE CA MENT CORPO		12/07/20					EVFOR	Jenerai Fartile	1		
	(Street) 4. If Amer Filed(Mon				Original		App	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
NEW YORK	X, NY 10105						Pers	Form filed by Moson	re than One Rep	orting		
(City)	(State)	(Zip)	Table	e I - Non-Dei	rivative Secur	ities A	cquire	d, Disposed of,	or Beneficiall	y Owned		
1.Title of Security (Instr. 3)	2. Transaction Date 2A. (Month/Day/Year) Execution (Month/Day/Year)			3. 4. Securities Acquir Transaction or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A)		red (A)	Securities Beneficially Owned Following Reported Transaction(s)	Ownership Form: Direct (D) or Indirect (I) s) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
units of				Code V	Amount	(D)	Price	(Instr. 3 and 4)				
limited partnership interest (Units)	12/07/2004			J(1)(2)	8,160,000	D	\$ 0 (1) (2)	16,320,000 (3)	I (3)	by SCB Partners		
Reminder: Repo	ort on a separate	line for each	class of secur	rities benefici	-	-						
					Persons wh	no res	spond	to the collection	on of SE	C 1474		

information contained in this form are not

required to respond unless the form

(9-02)

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# displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration Date		Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	. 3 and 4)		Own
	Security				Acquired						Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
									Amount		
						Date	Expiration	T:41-	or Namel		
						Exercisable	sable Date		Number		
				G 1 17	(A) (D)				of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GORDON MARK R C/O ADAM R. SPILKA ALLIANCE CAPITAL MANAGEMENT CORPORATION NEW YORK, NY 10105

**EVP** of General Partner

# **Signatures**

/s/ Adam Spilka, by pwr. att'y

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - On December 7, 2004, SCB Partners Inc. ("Partners") delivered an exercise notice to AXA Financial, Inc. ("AXF") informing AXF of Partners' intention to require AXF (or its designee) to purchase 8,160,000 Units from Partners in accordance with the terms of a purchase agreement dated as of June 20, 2000 ("Purchase Agreement") among AXF, Alliance Capital Management L.P. and SCB Inc. ("SCB").
- (1) The purchase price for each Unit that may be sold to AXF pursuant to the Purchase Agreement upon delivery of such exercise notice will be the average closing prices of a Holding Unit (as defined below) as quoted on the NYSE Composite Transaction Tape for the ten trading days ending on the fifth trading day following December 7, 2004. Upon the purchase by AXF (or its designee) of the 8,160,000 Units, Partners will hold 16,320,000 Units.
- As used herein, the term "Holding Unit" means a unit representing assignments of beneficial ownership of limited partnership inte rests in Alliance Capital Management Holding L.P.
- (3) Partners is a direct, wholly-owned subsidiary of SCB. SCB, through its ownership of Partners, may be deemed to own beneficially and indirectly 16,320,000 Units following the transaction described herein. SCB disclaims beneficial ownership of the securities reported herein except to the extent of its pecuniary interest therein. Reporting Person, by being a stockholder of SCB, may be deemed to own

Reporting Owners 2

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beneficially and indirectly 16,320,000 Units following the transaction described herein. Reporting Person disclaims beneficial ownership of the securities reported herein except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.