Edgar Filing: RICHARDSON DAVID JEFFREY - Form 4

RICHARDSON DAVID JEFFREY

Form 4

September 20, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Estimated average

Expires:

X Form filed by One Reporting Person ____ Form filed by More than One Reporting

Person

OMB APPROVAL

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

January 31, 2005

Section 16.
Form 4 or
Form 5
obligations
may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading RICHARDSON DAVID JEFFREY Issuer Symbol AMBARELLA INC [AMBA] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) X_ Director 10% Owner Other (specify Officer (give title 3101 JAY STREET 09/18/2018 below) (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

SANTA CLARA, CA 95054

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial anv (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price **Ordinary** 09/18/2018 M 1,232 9,536 D A <u>(1)</u> Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: RICHARDSON DAVID JEFFREY - Form 4

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and		7. Title and Amount	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction Derivative		*		of Underlying	
Security	or Exercise		any	Code	Securities	(Month/Day/Year)		Securities	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired		(Instr. 3 and 4)		
	Derivative				(A) or				
	Security				Disposed of				
	Security				(D)				
					(Instr. 3, 4,				
					and 5)				
				Code V	(A) (D)	Date	Expiration Date	Title	Amount
					. , , , , ,	Exercisable	•		or
									Number
									of
									Shares
Restricted									
	(1)	00/10/2010		1.1	1 000	(2)	00/15/2010(2)	Ordinary	1 000
Stock	<u>(1)</u>	09/18/2018		M	1,232	(2)	09/15/2018(2)	Shares	1,232
Units								Silares	

Reporting Owners

Relationships Reporting Owner Name / Address 10% Owner Officer Other Director RICHARDSON DAVID JEFFREY X

3101 JAY STREET SANTA CLARA, CA 95054

Signatures

By: /s/ Michael Morehead, Attorney in Fact For: David Jeffrey Richardson

> **Signature of Reporting Person Date

09/20/2018

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Ambarella, Inc. Ordinary Shares.
- The restricted stock units will vest as to 1/4th of the restricted stock units each three months following the vesting start date of September **(2)** 15, 2017, so as to be 100% vested on September 15, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2