### Edgar Filing: WESTERN DIGITAL CORP - Form 3

#### WESTERN DIGITAL CORP

Form 3

November 14, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement WESTERN DIGITAL CORP [WDC] BHATIA MANISH H (Month/Day/Year) 11/04/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O WESTERN DIGITAL (Check all applicable) CORPORATION, Â 3355 MICHELSON DRIVE, SUITE 10% Owner Director 100 \_X\_\_ Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group EVP, Silicon Operations Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person IRVINE, CAÂ 92612 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect (Instr. 5) Â Common Stock 87,720 (1) D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of
Security	Expiration Date	Securities Underlying	Conversion	Ownership	Indirect Beneficial
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership

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			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Derivative Security: ecurity Direct (D) or Indirect (I) (Instr. 5)	
Dividend Equivalent Rights	(2)	(2)	Common Stock	1,567.8187	\$ <u>(2)</u>	D	Â
Employee Stock Option (right to buy)	05/15/2016(3)	02/14/2020	Common Stock	15,932	\$ 25.56	D	Â
Employee Stock Option (right to buy)	05/18/2016(4)	02/17/2021	Common Stock	31,865	\$ 36.87	D	Â
Employee Stock Option (right to buy)	05/17/2016(5)	02/16/2022	Common Stock	51,591	\$ 40.63	D	Â
Employee Stock Option (right to buy)	08/03/2017(6)	08/03/2023	Common Stock	51,685	\$ 44.78	D	Â

# **Reporting Owners**

Reporting Owner Name / Address		Relationships				
	Director	10% Owner	Officer	Other		
BHATIA MANISH H C/O WESTERN DIGITAL CORPORATION 3355 MICHELSON DRIVE, SUITE 100 IRVINE, CA 92612	Â	Â	EVP, Silicon Operations	Â		

## **Signatures**

By: /s/ Sandra Garcia Attorney-in-Fact For: Manish H.
Bhatia
11/14/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Consists of 87,720 unvested awards of restricted stock units (RSUs). Each RSU represents a contingent right to receive one share of the Issuer's common stock.
- The dividend equivalent rights accrued on previously awarded restricted stock units (RSUs) which vest proportionately with the RSUs to which they relate. Each dividend equivalent right represents a contingent right to receive one share of the Issuer's common stock or the cash value thereof.
- (3) The option vests in substantially equal installments at the end of each three-month period through February 15, 2017. The first installment became exercisable on May 15, 2016.
- (4) The option vests in substantially equal installments at the end of each three-month period through February 18, 2018. The first installment became exercisable on May 18, 2016.
- (5) The option vests in substantially equal installments at the end of each three-month period through February 17, 2019. The first installment became exercisable on May 17, 2016.
- (6) The option vests 25% on the first anniversary of the grant date of August 3, 2016 and 6.25% at the end of each three-month period thereafter.

Reporting Owners 2

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### **Remarks:**

Exhibit List:

Exhibit 24Â -Â Power-of-Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.