

AMTECH SYSTEMS INC

Form 4

May 26, 2015

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**WHANG JONG S**

(Last) (First) (Middle)

2. Issuer Name **and** Ticker or Trading  
Symbol

**AMTECH SYSTEMS INC [ASYS]**

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

3. Date of Earliest Transaction  
(Month/Day/Year)

**05/26/2015**

☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below)

Executive Chairman

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3)                            | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Ownership<br>(Instr. 4) |
|------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-----------------------------------------|
| Amtech<br>Systems,<br>Inc. \$.01<br>par value<br>common<br>stock | 05/26/2015                              |                                                             | M                                    | 6,250 A                                                                 | \$<br>2.95 47,916                                                                                                  | D                                                                    |                                         |
| Amtech<br>Systems,<br>Inc. \$.01<br>par value<br>common<br>stock | 05/26/2015                              |                                                             | M                                    | 10,000 A                                                                | \$<br>6.15 57,916                                                                                                  | D                                                                    |                                         |

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Amtech  
Systems,  
Inc. \$.01  
par value  
common  
stock

05/26/2015

M

13,750 A

\$ 6.9 71,666

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)       | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                    |                                                                  |                                     |
|-----------------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----|---------------------------------------------------------------------|--------------------|------------------------------------------------------------------|-------------------------------------|
|                                                           |                                                                       |                                         |                                                             | Code                                    | V                                                                                                            | (A)                                                            | (D) | Date<br>Exercisable                                                 | Expiration<br>Date | Title                                                            | Amount<br>or<br>Number<br>of Shares |
| Amtech<br>Systems,<br>Inc.<br>Employee<br>Stock<br>Option | \$ 2.95                                                               | 05/26/2015                              |                                                             | M                                       |                                                                                                              | 6,250                                                          |     | 12/11/2014                                                          | 12/11/2022         | Amtech<br>Systems,<br>Inc. \$.01<br>par value<br>common<br>stock | 6,250                               |
| Amtech<br>Systems,<br>Inc.<br>Employee<br>Stock<br>Option | \$ 6.15                                                               | 05/26/2015                              |                                                             | M                                       |                                                                                                              | 10,000                                                         |     | 11/20/2013                                                          | 11/20/2019         | Amtech<br>Systems,<br>Inc. \$.01<br>par value<br>common<br>stock | 10,000                              |
| Amtech<br>Systems,<br>Inc.<br>Employee<br>Stock<br>Option | \$ 6.9                                                                | 05/26/2015                              |                                                             | M                                       |                                                                                                              | 13,750                                                         |     | 12/08/2010                                                          | 12/08/2016         | Amtech<br>Systems,<br>Inc. \$.01<br>par value<br>common<br>stock | 13,750                              |

## Reporting Owners

| Reporting Owner Name / Address | Relationships |           |                    |       |
|--------------------------------|---------------|-----------|--------------------|-------|
|                                | Director      | 10% Owner | Officer            | Other |
| WHANG JONG S                   | X             |           | Executive Chairman |       |

## Signatures

Robert T. Hass on behalf of JS Whang by Power of Attorney

05/26/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of stock option.
- (2) This is the number of derivative securities, with the same terms and conditions, following the reported transaction.
- (3) This is the number of derivative securities, with the same terms and conditions, following the reported transaction.
- (4) This is the number of derivative securities, with the same terms and conditions, following the reported transaction. Total of all derivative securities, with different terms and conditions, held following the reported transaction is 185,417.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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