

HELMERICH HANS

Form 4

August 09, 2018

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
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burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**HELMERICH HANS**

(Last) (First) (Middle)

**C/O CIMAREX ENERGY  
CO., 1700 LINCOLN STREET,  
SUITE 3700**

(Street)

**DENVER, CO 80203**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

**CIMAREX ENERGY CO [XEC]**

3. Date of Earliest Transaction  
(Month/Day/Year)

**08/09/2018**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (A) or (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock				(A) or (D)	13,605 <sup>(1)</sup>	D	
Common Stock					11,450 <sup>(2)</sup>	I	By Wife
Common Stock					1,062	I	Co-Manager of Helmerich Grandchildren LLC
Common Stock					7,865	I	Trustee of Family Trust

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Common Stock						36,430	I	Co-Trustee of The Helmerich Trust (fka Co-Trustee of The Helmerich Foundation)
Common Stock						325,000	I	Peggy Helmerich QTIP Trust (fka Trustee of Estate of W.H. Helmerich III)
Common Stock	08/09/2018		G V	<u>10,000</u> (3)	A \$ 0	10,000	I	Saddleridge, LLC
Common Stock	08/09/2018		G V	<u>10,000</u> (4)	D \$ 0	43,903	I	Trustee, 1993 Hans Helmerich Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 3 and 4)
				Code V (A) (D)		Date Exercisable Expiration Date	Title Amount or Number of Shares		

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other

HELMERICH HANS  
C/O CIMAREX ENERGY CO.  
1700 LINCOLN STREET, SUITE 3700  
DENVER, CO 80203

## Signatures

Francis B. Barron, as  
Attorney-in-Fact

08/09/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 2,788 shares of restricted stock subject to service-based vesting.
- (2) Mr. Helmerich disclaims beneficial ownership of these shares.
- (3) Represents a gift for estate planning purposes. The Reporting Person owns 99% and his wife owns 1% of Saddleridge, LLC.
- (4) Represents a gift for estate planning purposes. The Reporting Person owns 99% and his wife owns 1% of Saddleridge, LLC.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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