Edgar Filing: Amber Road, Inc. - Form 4

Amber Road Form 4												
April 07, 202	1 /									PPROVAL		
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									OMB Number:	3235-0287		
Check th if no long	ar.	STATEMENT OF CHANGES IN BENEFICIAL OWNE							Expires:	January 31, 2005		
subject to Section 16. Form 4 or				SECUR		CIAI		NEKSHIP OF	Estimated a burden hou response	ours per		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940												
(Print or Type I	Responses)											
Cross Atlantic Capital Partners, Inc. Symbol				er Name and Ticker or Trading r Road, Inc. [AMBR]				5. Relationship of Reporting Person(s) to Issuer				
(Last)					ansaction	.]		(Check all applicable)				
			th/Day/Year)				Director Officer (give title Other (specify below)					
(Street) 4. If Amer				nendment, Date Original onth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person X_ Form filed by More than One Reporting 				
(City)	Person											
1.Title of	2. Transaction Date	-		3.	4. Securit		_	5. Amount of	6. Ownership	-		
Security (Instr. 3)	(Month/Day/Year)				Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or			Securities Beneficially Owned	Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial Ownership (Instr. 4)		
Common Stock, \$0.001 par value per share	04/05/2017			Code V S	Amount 11,300 (1)	(D) D	Price \$ 7.01	3,285,451 <u>(2)</u>	I	By Funds (2)		
Common Stock, \$0.001 par value per share	04/06/2017			S	48,704 (1)	D	\$7	3,236,747 <u>(2)</u>	I	By Funds		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	of Derivative Securities Acquired (A) or		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo
				Disposed of (D)						Trans (Instr
				(Instr. 3,						(msu
				(insu: 5, 4, and 5)						
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Cross Atlantic Capital Partners, Inc. 150 N RADNOR CHESTER RD #150 RADNOR, PA 19087		Х					
CO INVESTMENT 2000 FUND LP 150 N RADNOR CHESTER RD #150 RADNOR, PA 19087		Х					
Co-Invest Capital Partners Inc 150 N RADNOR CHESTER RD #150 RADNOR, PA 19087		Х					
Co-Invest Management LP 150 N RADNOR CHESTER RD #150 RADNOR, PA 19087		Х					
CO-INVESTMENT FUND II, L.P. 150 N RADNOR CHESTER RD #150 RADNOR, PA 19087		Х					
Co-Invest Capital Partners II, Inc. 150 N RADNOR CHESTER RD #150 RADNOR, PA 19087		Х					
		Х					

XATF MANAGEMENT II LP 150 N RADNOR CHESTER RD #150 RADNOR, PA 19087

Signatures

/s/ Donald R. Caldwell

04/07/2017

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales of the shares were made by The Co-Investment Fund II, L.P. (45%) and The Co-Investment 2000 Fund, LP (55%) in connection with their maturity and their obligation to make distributions to its partners.

Consists of 1,467,633 shares (after sales on April 5, 2017) and 1,445,716 shares (after sales on April 6, 2017) held by The Co-Investment Fund II, L.P. and 1,838,136 shares (after sales on April 5, 2017) and 1,811,549 shares (after sales on April 6, 2017) held by The Co-Investment 2000 Fund, L.P. (the "Funds"). Donald R. Caldwell is a director, shareholder and officer of Co-Invest Capital

(2) By The Co-Investment 2000 Fund, L.P. (the Funds). Donard R. Cardwen is a director, shareholder and officer of Co-Invest Capital Partners, Inc., which is the general partner Co-Invest Management, L.P., which is the general partner of The Co-Investment 2000 Fund, L.P. Donald R. Caldwell is a director, shareholder and officer of Co-Invest Capital Partners II, Inc., which is the general partner of Co-Invest Management II, L.P., which is the general partner of The Co-Invest Management II, L.P.

Remarks:

The Reporting Persons disclaim beneficial ownership of the shares held by the Funds except to the extent of each Reporting Persons disclaim beneficial ownership of the shares held by the Funds except to the extent of each Reporting Persons disclaim beneficial ownership of the shares held by the Funds except to the extent of each Reporting Persons disclaim beneficial ownership of the shares held by the Funds except to the extent of each Reporting Persons disclaim beneficial ownership of the shares held by the Funds except to the extent of each Reporting Persons disclaim beneficial ownership of the shares held by the Funds except to the extent of each Reporting Persons disclaim beneficial ownership of the shares held by the Funds except to the extent of each Reporting Persons disclaim beneficial ownership of the shares held by the Funds except to the extent of each Reporting Persons disclaim beneficial ownership of the shares held by the Funds except to the extent of each Reporting Persons disclaim beneficial ownership ownership of the shares held by the Funds except to the extent of each Reporting Persons disclaim beneficial ownership of the shares held by the Funds except to the extent of each Reporting Persons disclaim beneficial ownership owner

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.