Amber Road, Inc. Form 4 April 03, 2017

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

(Print or Type Responses)

(Last)

#150

share

1. Name and Address of Reporting Person \* Cross Atlantic Capital Partners, Inc.

(First)

(Street)

(Middle)

Symbol Amber Road, Inc. [AMBR]

3. Date of Earliest Transaction

4. If Amendment, Date Original

(Month/Day/Year) 03/30/2017

Filed(Month/Day/Year)

150 N RADNOR CHESTER RD

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director \_X\_\_ 10% Owner \_ Other (specify Officer (give title

below)

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Applicable Line)

Form filed by One Reporting Person X Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

RADNOR, PA 19087

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired ior(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, \$0.001 par value per share	03/30/2017		Code V	Amount  100,000  (1)	(D)	Price \$ 7.64	(Instr. 3 and 4) 3,503,778 (2)	I	By Funds
Common Stock, \$0.001 par value per	03/31/2017		S	158,578 (1)	D	\$ 7.6	3,345,200 (2)	I	By Funds

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D)		ate	7. Title at Amount of Underlyin Securities (Instr. 3 a	of ng s	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(Instr. 3, 4, and 5)  (A) (D)	Date Exercisable	Expiration Date	or Title Nu of	mount umber ares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
reporting of the Fund of Fundament	Director	10% Owner	Officer	Other			
Cross Atlantic Capital Partners, Inc. 150 N RADNOR CHESTER RD #150 RADNOR, PA 19087		X					
CO INVESTMENT 2000 FUND LP 150 N RADNOR CHESTER RD #150 RADNOR, PA 19087		X					
Co-Invest Capital Partners Inc 150 N RADNOR CHESTER RD #150 RADNOR, PA 19087		X					
Co-Invest Management LP 150 N RADNOR CHESTER RD #150 RADNOR, PA 19087		X					
CO-INVESTMENT FUND II, L.P. 150 N RADNOR CHESTER RD #150 RADNOR, PA 19087		X					
Co-Invest Capital Partners II, Inc. 150 N RADNOR CHESTER RD #150 RADNOR, PA 19087		X					
		X					

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XATF MANAGEMENT II LP 150 N RADNOR CHESTER RD #150 RADNOR, PA 19087

#### **Signatures**

/s/ Donald R. O4/03/2017

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales of the shares were made by The Co-Investment Fund II, L.P. (45%) and The Co-Investment 2000 Fund, LP. (55%) in connection with their maturity and their obligation to make distributions to its partners.
  - Consists of 1,565,880 shares (after sales on March 30, 2017) and 1,494,520 shares (after sales on March 31, 2017) held by The Co-Investment Fund II, L.P. and 1,937,898 shares (after sales on March 30, 2017) and 1,850,680 shares (after sales on March 31, 2017) held by The Co-Investment 2000 Fund, L.P. (the "Funds"). Donald R. Caldwell is a director, shareholder and officer of Co-Invest
- Capital Partners, Inc., which is the general partner Co-Invest Management, L.P., which is the general partner of The Co-Investment 2000 Fund, L.P. Donald R. Caldwell is a director, shareholder and officer of Co-Invest Capital Partners II, Inc., which is the general partner of Co-Invest Management II, L.P., which is the general partner of The Co-Investment Fund II, L.P.

#### **Remarks:**

The Reporting Persons disclaim beneficial ownership of the shares held by the Funds except to the extent of each Reporting Persons. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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