Werth Dennis L Form 3 May 24, 2012

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement NEWPORT CORP [NEWP] Werth Dennis L (Month/Day/Year) 05/15/2012 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1791 DEERE AVENUE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person IRVINE, CAÂ 92606 (give title below) (specify below) Form filed by More than One Senior Vice President, PCSB Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 46,196 (1) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly.

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currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	•		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	()

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				Shares		(I) (Instr. 5)	
Stock Option (right to buy)	(2)	02/22/2015	Common Stock	10,000	\$ 13.46	D	Â
Stock Appreciation Right (Stock-Settled)	(3)	03/20/2016	Common Stock	27,510	\$ 4.18	D	Â
Stock Appreciation Right (Stock-Settled)	(4)	03/31/2017	Common Stock	9,510	\$ 12.47	D	Â
Stock Appreciation Right (Stock-Settled)	(5)	05/17/2018	Common Stock	6,840	\$ 17.06	D	Â
Stock Appreciation Right (Stock-Settled)	(6)	04/12/2019	Common Stock	9,630	\$ 17.12	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Transfer of the same	Director	10% Owner	Officer			
Werth Dennis L 1791 DEERE AVENUE IRVINE Â CAÂ 92606	Â	Â	Senior Vice President, PCSB	Â		

## **Signatures**

/s/ Jeffrey B. Coyne, SVP & General Counsel, as attorney-in-fact for reporting person

05/24/2012

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Includes outstanding restricted stock units (RSUs) representing the right to receive upon vesting a total of 17,360 shares of the issuer's common stock. Such RSUs were awarded and vest as follows: 3,170 RSUs awarded in 2010 vest in full on March 31, 2013; 4,560 RSUs
- (1) awarded in 2011 vest in two remaining equal installments on March 31, 2013 and March 31, 2014; and 9,630 RSUs awarded in 2012 vest in three equal installments on March 31, 2013, March 31, 2014 and March 31, 2015. The vesting of the 9,630 RSUs awarded in 2012 is subject to the achievement by the issuer of a specified financial performance goal for 2012.
- (2) Option vested in equal 25% annual installments and became fully vested on February 23, 2009.
- (3) Stock appreciation right vested in equal one-third annual installments and became fully vested on March 20, 2012.
- (4) Stock appreciation right vests in equal one-third installments. The first two one-third installments vested on March 31, 2011 and March 31, 2012, and the remaining one-third installment vests on March 31, 2013.
- (5) Stock appreciation right vests in equal one-third installments. The first one-third installment vested on March 31, 2012, and the remaining two one-third installments vest on March 31, 2013 and March 31, 2014.
- (6) Stock appreciation right vests in equal one-third installments on March 31, 2013, March 31, 2014 and March 31, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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