Edgar Filing: Harris Mitchell E. - Form 4

Harris Mitc Form 4	hell E.										
February 21	, 2019										
FORM	ЛД								OMB AP	PROVAL	
	FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMMISSION	OMB Number:	3235-0287	
Check t if no lor subject Section Form 4 Form 5	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						Expires: January 31 2005 Estimated average burden hours per response 0.5				
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> Harris Mitchell E.								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			[BK]					(Check an applicable)			
(Last) (First) (Middle) 240 GREENWICH STREET							Director 10% Owner X Officer (give title Other (specify below) below)				
240 OILL	02/10/2019					Sr. Executive Vice President					
				Filed(Month/Day/Year) A				. Individual or Joint/Group Filing(Check Applicable Line) X_ Form filed by One Reporting Person			
NEW YOF	RK, NY 10286						-	Form filed by Mo Person			
(City)	(State)	(Zip)	Ta	ble I - Non	-Derivative Se	curitie	es Acqui	red, Disposed of,	or Beneficially	y Owned	
1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)			Code (Instr. 3, 4 and 5) (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock	02/16/2019			F	3,667 <u>(1)</u>	D	\$ 52.99	180,512.779	D		
Common Stock	02/19/2019			F	9,248 <u>(1)</u>	D	\$ 52.99	171,264.779	D		
Common Stock	02/19/2019			А	27,244.01 (2)	А	\$0	198,508.789	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	Date	Amou Unde Secur	le and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
Beno	rting ()	wners	Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Harris Mitchell E. 240 GREENWICH STREET NEW YORK, NY 10286			Sr. Executive Vice President					
Signatures								
/s/Bennett E. Josselsohn, Attorney-in-Fact	02/21/2019							
**Signature of Reporting Person		Da	ite					
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Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld in payment of tax liability incident to vesting of previously disclosed Restricted Stock Unit awards.

Represents Performance Shares Units granted in February 2016 (including units from reinvested dividend equivalents) for which(2) performance goals have been achieved, as certified on February 11, 2019. Each unit represents the right to one share of the issuer's common stock. The Performance Share Unit Award vested on February 19, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.