

BROWN WILLIAM E  
Form 4  
January 23, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BROWN WILLIAM E**

2. Issuer Name and Ticker or Trading Symbol  
**CENTRAL GARDEN & PET CO  
[CENT]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
**12/28/2016**

\_\_\_\_ Director  
 Officer (give title below)  
\_\_\_\_ 10% Owner  
\_\_\_\_ Other (specify below)  
Chairman

**C/O CENTRAL GARDEN & PET COMPANY, 1340 TREAT BLVD., SUITE 600**

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**WALNUT CREEK, CA 94597**

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)			
			Code	V	Amount or Price					
Class A Common Stock	12/28/2016		G		6,750	D	\$ 0	2,057,839	D	
Class A Common Stock								242,700 <sup>(1)</sup>	I	By Irrevocable Trusts <sup>(2)</sup>
Class A Common Stock	05/22/2017		G		270	D	\$ 0	2,057,569	D	

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Class A Common Stock	05/22/2017	G	2,210	D	\$ 0	2,055,359	D
Class A Common Stock	12/29/2017	G V	1,813	D	\$ 0	2,053,546	D
Class A Common Stock	01/19/2018	A	<u>8,174</u> (3)	A	\$ 0	2,061,720	D
Common Stock						1,574,019	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V (A) (D)		

## Reporting Owners

**Reporting Owner Name / Address**

**Relationships**

Director    10% Owner    Officer    Other

BROWN WILLIAM E  
C/O CENTRAL GARDEN & PET COMPANY  
1340 TREAT BLVD., SUITE 600  
WALNUT CREEK, CA 94597

Chairman

## Signatures

/s/ Jo-Ann Jonte as Attorney-in-Fact for William E.  
Brown

01/23/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On December 28, 2016, the Reporting Person gifted an aggregate amount of 2,700 shares of Class A Common Stock of the Issuer, respectively, for the benefit of various family Irrevocable Trusts.  

These securities are owned directly by various family Irrevocable Trusts and indirectly by the Reporting Person and his spouse as co-trustees of the Irrevocable Trusts. The Reporting Person and his spouse, as co-trustees, have and share investment control over the securities held in each of the Irrevocable Trusts but disclaim beneficial ownership of the reported securities held by the Irrevocable Trusts except to the extent of his and his wife's pecuniary interest therein
- (2) The Reporting Person was awarded by the Issuer's Compensation Committee on January 9, 2018 a grant of 8,174 shares of restricted Class A Common Stock, which will vest in increments of 1/3 at the end of years 3, 4 and 5.
- (3) The Reporting Person was awarded by the Issuer's Compensation Committee on January 9, 2018 a grant of 8,174 shares of restricted Class A Common Stock, which will vest in increments of 1/3 at the end of years 3, 4 and 5.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.