

CENTRAL GARDEN & PET CO  
Form 4  
August 23, 2016

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
CHICHESTER DAVID N

2. Issuer Name and Ticker or Trading Symbol  
CENTRAL GARDEN & PET CO  
[CENT]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

1340 TREAT BLVD. STE 600

(Street)

WALNUT CREEK, CA 94597

(City) (State) (Zip)

3. Date of Earliest Transaction (Month/Day/Year)  
08/17/2016

Director  10% Owner  
 Officer (give title below)  Other (specify below)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)			
Class A Common Stock	08/17/2016		G	V	4,063	D	\$ 0	16,422	D
Class A Common Stock	08/22/2016		M		9,247	A	\$ 7.21	25,669	D
Class A Common Stock	08/22/2016		F <sup>(1)</sup>		2,722	D	\$ 24.5	22,947	D
Class A Common	08/22/2016		M		6,761	A	\$ 9.86	29,708	D

Edgar Filing: CENTRAL GARDEN & PET CO - Form 4

Stock								
Class A Common Stock	08/22/2016		F <sup>(1)</sup>	2,721	D	\$ 24.5	26,987	D
Class A Common Stock	08/22/2016		M	4,000	A	\$ 12.32	30,987	D
Class A Common Stock	08/22/2016		F <sup>(1)</sup>	2,012	D	\$ 24.5	28,975	D
Class A Common Stock	08/23/2016		S	12,553	D	\$ 24.5613 <u>(2)</u>	16,422	D
Common Stock							2,804	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to Buy)	\$ 7.21	08/22/2016		M	9,247	<u>(3)</u> 08/10/2017	Class A Common Stock	9,247
Stock Option (Right to Buy)	\$ 9.86	08/22/2016		M	6,761	<u>(4)</u> 08/10/2018	Class A Common Stock	6,761
	\$ 12.32	08/22/2016		M	4,000	<u>(5)</u> 08/17/2020		4,000

Stock  
Option  
(Right to  
Buy)

Class A  
Common  
Stock

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CHICHESTER DAVID N 1340 TREAT BLVD. STE 600 WALNUT CREEK, CA 94597			X	

## Signatures

/s/ David  
Chichester

08/23/2016

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld by the Issuer in payment of the aggregate option exercise price incurred upon the above-reported exercise of options. The amount of shares withheld is based on the respective average of the high and low sales prices on the date of the exercise.  
The range of prices for the shares of Class A Common Stock is from \$24.52 to \$24.61. Mr. Chichester undertakes that he will provide, upon request by the staff of the U.S. Securities and Exchange Commission, full information regarding the number of securities sold at each separate price.
- (2) The range of prices for the shares of Class A Common Stock is from \$24.52 to \$24.61. Mr. Chichester undertakes that he will provide, upon request by the staff of the U.S. Securities and Exchange Commission, full information regarding the number of securities sold at each separate price.
- (3) One-third of the total options granted became exercisable at each of 6 months, 18 months and 30 months after February 10, 2014, the date of the grant
- (4) One-third of the total options granted became exercisable at each of 6 months, 18 months and 30 months after February 10, 2015, the date of the grant.
- (5) The options will vest upon the first to occur of termination of employment by the Company (other than for cause) or the expiration of six months after commencement of employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.