Edgar Filing: BIOTIME INC - Form 5/A

BIOTIME INC Form 5/A								
February 17, 2016								
FORM 5						-	PROVAL	
	UNITED STATE	S SECURITIES A			OMMISSION	OMB Number:	3235-0362	
Check this box if no longer subject	Washington,	shington, D.C. 20549				January 31,		
to Section 16. Form 4 or Form 5 obligations may continue. See Instruction	ANNUAL S		MENT OF CHANGES IN BENEFICIAL IERSHIP OF SECURITIES				2005 average rs per 1.0	
1(b).	ection 17(a) of the	Section 16(a) of the Public Utility Hold) of the Investment	ling Compa	any Act of	1935 or Section	1		
1. Name and Address o SEGALL JUDITH	2. Issuer Name and Ticker or Trading Symbol BIOTIME INC [BTX]			5. Relationship of Reporting Person(s) to Issuer				
(Last) (Fir 1010 ATLANTIC	3. Statement for Issue (Month/Day/Year) 12/31/2015	2/31/2015			(Check all applicable) <u>X</u> Director 10% Owner X Officer (give title Other (specify below) Vice President & Secretary			
AVENUE, SUIT	E 102				vice Pres	sident & Secre	tary	
(Stra	4. If Amendment, Da Filed(Month/Day/Year 02/16/2016	•						
ALAMEDA, CA	94501				_X_ Form Filed by C Form Filed by M Person			
(City) (Sta	te) (Zip)	Table I - Non-D	erivative Sec	urities Acau	ired, Disposed of	or Beneficial	lv Owned	
	any		4. Securi	ties Acquired sposed of	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Shares, no 01/05 par value	/2015 Â	G	1,500 (1)	D \$ 4.11	593,145 <u>(2)</u>	D	Â	
Reminder: Report on a securities beneficially o		ectly. containe	d in this for	m are not re	llection of infor	ond unless	SEC 2270 (9-02)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

the form displays a currently valid OMB control number.

(e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: BIOTIME INC - Form 5/A

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Number		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. De Se (I1
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option to Purchase Common Shares	\$ 4.23	Â	Â	Â	Â	Â	(<u>3)</u>	03/02/2025	Common Shares	50,000	
Option to Purchase Common Shares	\$ 3.51	Â	Â	Â	Â	Â	(4)	03/19/2021	Common Shares	50,000	
Option to Purchase Common Shares	\$ 4.22	Â	Â	Â	Â	Â	(5)	02/19/2020	Common Shares	50,000	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SEGALL JUDITH 1010 ATLANTIC AVENUE SUITE 102 ALAMEDA, CA 94501	ÂX	Â	Vice President & Secretary	Â			

Signatures

**Signature of

Reporting Person

/s/Judith Segall 02/17/2016

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On January 5, 2015, Ms. Segall gifted 1,500 common shares to a charitable organization.
- (2) Does not include shares that may be acquired upon the exercise of certain stock options.
- One quarter of the options shall vest on March 2, 2016 and the balance shall vest in 36 monthly installments thereafter upon the (3) completion of each month of continuous employment.

Edgar Filing: BIOTIME INC - Form 5/A

(4) 1/48th of the number of options will vest and become exercisable at the end of each full month of employment after March 20, 2014.

(5) 1/48th of the number of options will vest and become exercisable at the end of each full month of employment after January 1, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.