

AXCELIS TECHNOLOGIES INC

Form 4

August 12, 2015

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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Estimated average  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Lawson Douglas A.

(Last) (First) (Middle)

C/O AXCELIS TECHNOLOGIES,  
INC., 108 CHERRY HILL DRIVE

(Street)

BEVERLY, MA 01915

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
AXCELIS TECHNOLOGIES INC  
[ACLS]

3. Date of Earliest Transaction  
(Month/Day/Year)  
08/10/2015

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title below) \_\_\_\_ Other (specify below)  
EVP, Corporate Mktg & Strategy

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	08/10/2015		M		10,000	A	\$ 1.6	28,598	D
Common Stock	08/10/2015		M		12,500	A	\$ 0.93	41,098	D
Common Stock	08/10/2015		M		18,750	A	\$ 1.99	59,848	D
Common Stock	08/10/2015		M		10,752	A	\$ 1.8	70,600	D
Common Stock	08/10/2015		S		31,573	D	\$ 3.28	39,027	D

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Common Stock	08/10/2015	S	18,896	D	\$ 3.29	20,131	D	
Common Stock	08/10/2015	S	1,381	D	\$ 3.3	18,750	D	
Common Stock	08/10/2015	S	152	D	\$ 3.35	18,598	D	
Common Stock	08/11/2015	M	20,498	A	\$ 1.8	39,096	D	
Common Stock	08/11/2015	S	17,898	D	\$ 3.29	21,198	D	
Common Stock	08/11/2015	S	2,600	D	\$ 3.3	18,598	D	
Common Stock						12,500	I	Held by spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock option (right to buy)	\$ 1.6	08/10/2015		M		10,000		07/15/2015	07/15/2021	Common Stock	10,000
Stock option (right to buy)	\$ 0.93	08/10/2015		M		12,500		07/16/2015 <sup>(1)</sup>	07/16/2019	Common Stock	12,500
Stock option (right to buy)	\$ 1.99	08/10/2015		M		18,750		07/15/2015 <sup>(2)</sup>	07/15/2020	Common Stock	18,750

buy)

Stock

option  
(right to  
buy)

\$ 1.8

08/10/2015

M

10,752

07/15/2015<sup>(3)</sup>

07/15/2021

Common  
Stock

10,752

Stock

option  
(right to  
buy)

\$ 1.8

08/11/2015

M

20,498

07/15/2015<sup>(3)</sup>

07/15/2021

Common  
Stock

20,498

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director

10% Owner

Officer

Other

Lawson Douglas A.  
C/O AXCELIS TECHNOLOGIES, INC.  
108 CHERRY HILL DRIVE  
BEVERLY, MA 01915

EVP, Corporate Mktg &amp; Strategy

## Signatures

Lynnette C. Fallon, as attorney in fact for Douglas A.  
Lawson

08/12/2015

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) 50,000 stock options were granted on July 16, 2012 and vested as to 12,500 shares on each of July 16, 2013, 2014 and 2015. The remaining options for 12,500 shares will vest on July 16, 2016.
- (2) 75,000 stock options were granted on July 15, 2013 and vested as to 18,750 shares on each of July 15, 2014 and 2015. The remaining options for 37,500 shares will vest as to 18,750 shares on each of July 16, 2016 and 2017.
- (3) 125,000 stock options were granted on July 15, 2014 and vested as to 31,250 shares on July 15, 2015. The remaining options for 93,750 shares will vest as to 31,250 shares on each of July 15, 2016, 2017 and 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.