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Sumrun Inc. Form 4 August 10, 2015 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(b). State Autors and Autors an										
	Address of Reporting WILLIAM B	g Person <u>*</u>	Symbol		nd Ticker or Tra UN]	ading		5. Relationship of Issuer		
	(First) NDATION CAPI FIELD ROAD	(Middle) ΓAL, 250		/Day/Year)	Transaction		- - t	Director	k all applicab	0% Owner
				Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Та	ble I - Non	-Derivative Sec	uritie		ired, Disposed of	, or Benefici	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution I any (Month/Day	d Date, if	3. Transactio Code	4. Securities A onor Disposed of (Instr. 3, 4 and	(A) or	-	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form:	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock						(-)		521,191	I	Foundation Capital VI, L.P. (1)
Common Stock	08/10/2015			А	35,164 <u>(2)</u>	А	<u>(2)</u>	556,355	I	Foundation Capital VI, L.P. <u>(1)</u>
Common Stock	08/10/2015			С	15,485,113	А	<u>(3)</u>	16,041,468	I	Foundation Capital VI, L.P. (1)
Common Stock								5,823	Ι	Foundation Capital VI

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								Principals Fund, LLC (1)
Common Stock	08/10/2015	А	393 <u>(2)</u>	A	<u>(2)</u>	6,216	I	Foundation Capital VI Principals Fund, LLC
Common Stock	08/10/2015	С	173,022	A	<u>(3)</u>	179,238	I	Foundation Capital VI Principals Fund, LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day	ate	7. Title and A Underlying S (Instr. 3 and	Securitie
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou Numb Shares
Series A Preferred Stock (Convertible)	(3)	08/10/2015		С	8,256,563	(3)	(3)	Common Stock	8,25
Series A Preferred Stock (Convertible)	(3)	08/10/2015		С	92,255	<u>(3)</u>	(3)	Common Stock	92,
Series B Preferred Stock (Convertible)	(3)	08/10/2015		C	2,756,383	(3)	<u>(3)</u>	Common Stock	2,75
Series B Preferred Stock	<u>(3)</u>	08/10/2015		С	30,798	(3)	(3)	Common Stock	30,

(Convertible)

Series C Preferred Stock (Convertible)	<u>(3)</u>	08/10/2015	С	3,916,208	(3)	<u>(3)</u>	Common Stock	3,910
Series C Preferred Stock (Convertible)	<u>(3)</u>	08/10/2015	С	43,757	(3)	<u>(3)</u>	Common Stock	43,
Series D Preferred Stock (Convertible)	<u>(3)</u>	08/10/2015	С	357,150	(3)	<u>(3)</u>	Common Stock	357
Series D Preferred Stock (Convertible)	<u>(3)</u>	08/10/2015	С	3,991	(3)	(3)	Common Stock	3,9
Series E Preferred Stock (Convertible)	<u>(3)</u>	08/10/2015	С	198,809	(3)	(3)	Common Stock	198
Series E Preferred Stock (Convertible)	<u>(3)</u>	08/10/2015	С	2,221	(3)	<u>(3)</u>	Common Stock	2,2

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
ELMORE WILLIAM B C/O FOUNDATION CAPITAL 250 MIDDLEFIELD ROAD MENLO PARK, CA 94025		Х				
HOLLAND PAUL R C/O FOUNDATION CAPITAL 250 MIDDLEFIELD ROAD MENLO PARK, CA 94025		Х				
KOONTZ PAUL G C/O FOUNDATION CAPITAL 250 MIDDLEFIELD ROAD		Х				

MENLO PARK. CA 94025

Moldow Charles C/O FOUNDATION CAPITAL 250 MIDDLEFIELD ROAD MENLO PARK, CA 94025	X
Redelfs Richard C/O FOUNDATION CAPITAL 250 MIDDLEFIELD ROAD MENLO PARK, CA 94025	X
SCHUH MICHAEL N C/O FOUNDATION CAPITAL 250 MIDDLEFIELD ROAD MENLO PARK, CA 94025	Х
Weiss Warren M C/O FOUNDATION CAPITAL 250 MIDDLEFIELD ROAD MENLO PARK, CA 94025	Х
Signatures	
Gail M Haney as	

Gail M. Haney, as Attorney-In-Fact	08/10/2015
**Signature of Reporting Person	Date

**Signature of Reporting Person

Explanation of Responses:

his or her pecuniary interest therein.

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Foundation Capital Management Co. VI, LLC is the sole manager of Foundation Capital VI, L.P. and Foundation Capital VI Principals Fund, LLC and has sole voting and investment power with respect to the shares held by Foundation Capital VI, L.P. and Foundation Capital VI Principals Fund, LLC. William B.Elmore, Paul G. Koontz, Michael N. Schuh, Paul R. Holland, Richard A. Redelfs, Steve P. Vassallo, Charles P. Moldow and Warren M. Weiss are managing members of Foundation Capital Management Co. VI, LLC, and may be deemed to share voting and investment power over the shares owned by Foundation Capital VI, L.P. and Foundation Capital VI Principals Fund, LLC. Each of the managing members of Foundation Capital Management Co. VI, LLC disclaims beneficial ownership in the shares held by the aforementioned entities except to the extent of

- The shares were acquired pursuant to a Stock Issuance Agreement, the form of which is filed as Exhibit 4.4 to Amendment No. 1 (2)to the Issuer's Registration Statement on Form S-1, filed with the Securities Exchange Commission on July 22, 2015.
- Each share of Issuer's Preferred Stock automatically converted into Common Stock on a 1-for-1 basis immediately prior to the (3) closing of the Issuer's initial public offering and has no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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