### Edgar Filing: Synchrony Financial - Form 4

| Synchrony Fi<br>Form 4<br>April 03, 2013   |  |   |  |                                  |                    |   |  |  |   |  |
|--|--|---|--|----------------------------------|--------------------|---|--|--|---|--|
| FORM<br>Check this   | 4 UNITED S   | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>20(b) of the Investment Company Act of 1040 |  |                                  |                    |   |  |  | 2235-0287   |  |
| if no longe<br>subject to<br>Section 16<br>Form 4 or<br>Form 5<br>obligation<br>may contin<br><i>See</i> Instruct<br>1(b). | Filed purs<br>Section 17(a                                       |   |  |                                  |                    |   |  |  | January 31,<br>2005<br>average<br>irs per<br>0.5                  |  |
| (Print or Type R   | esponses)  |   |  |                                  |                    |   |  |  |   |  |
| FASOLI DAVID Symbol  |  |   | ssuer Name <b>and</b> Ticker or Trading<br>ool<br>chrony Financial [SYF] |                                  |                    |   | 5. Relationship of Reporting Person(s) to<br>Issuer  |  |   |  |
| (Last)<br>C/O SYNCH<br>FINANCIAL<br>ROAD   |  | (Month/E<br>04/01/2   | •  | ansaction                        |                    |   | Director<br>X Officer (give<br>below)  |  | 6)<br>6 Owner<br>er (specify                                      |  |
|  | (Street) 4. If Amendment, Date Original<br>Filed(Month/Day/Year) |   |  |                                  |                    | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person |  |  |   |  |
| STAMFORE   | D, CT 06902  |   |  |                                  |                    |   | Form filed by M<br>Person  | More than One Re   | eporting  |  |
| (City)   | (State) (2   | Zip) Tabl   | e I - Non-D  | erivative S                      | Securi             | ties Ac   | quired, Disposed o   | of, or Beneficial  | lly Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date<br>(Month/Day/Year)                          | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)   |  | Disposed<br>(Instr. 3,<br>Amount | l (A) c<br>l of (D | )   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock  | 04/01/2015   |   | А  | 9,945<br>(1)                     | А                  | \$0   | 69,338   | D  |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of<br>orDerivative<br>Securities<br>Acquired (A)<br>or Disposed or<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     |
|---|---|---|---|--|--|--|--------------------|---|-------------------------------------|
|   |   |   |   | Code V                                 | (A) (D   | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 30.41  | 04/01/2015                              |   | A                                      | 13,723   | (2)  | 04/01/2025         | Common<br>Stock   | 13,723                              |

# **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |             |       |  |  |  |
|--|---------------|-----------|-------------|-------|--|--|--|
|  | Director      | 10% Owner | Officer     | Other |  |  |  |
| FASOLI DAVID<br>C/O SYNCHRONY FINANCIAL<br>777 LONG RIDGE ROAD<br>STAMFORD, CT 06902 |               |           | See Remarks |       |  |  |  |
| Signatures   |               |           |             |       |  |  |  |
| /s/ Danielle Do, as attorney in fact   | 04/0          | 3/2015    |             |       |  |  |  |

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents restricted stock units that will vest in five equal annual installments of 20% each, beginning on the first anniversary of the grant date. Each restricted stock unit represents a contingent right to receive one share of Synchrony Financial common stock.
- (2) The option will vest in five equal annual installments of 20% each, beginning on the first anniversary of the grant date.

#### **Remarks:**

Executive Vice President and Chief Executive Officer-CareCredit

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.