### CONCERT PHARMACEUTICALS, INC.

Form 4 May 23, 2014

FORM		OMB APPROVAL					
. 01111	IISSION OMB Number	3235-0287					
Check the if no lon		Washington, D.C. 20549		Expires	January 31,		
subject to Section 1 Form 4 c	STATEMENT 16.	OF CHANGES IN BENEFICE SECURITIES	AL OWNERS	HIP OF Estimate burden	Estimated average burden hours per response 0.5		
Form 5 obligation may con See Instruction 1(b).	ons tinue. Section 17(a) of the	o Section 16(a) of the Securities are Public Utility Holding Companh) of the Investment Company A	ny Act of 1935				
(Print or Type	Responses)						
1. Name and A Tung Roger	Address of Reporting Person 2	Symbol	Issuer				
		CONCERT PHARMACEUT INC. [CNCE]	ICALS,				
(Last)	(First) (Middle)	3. Date of Earliest Transaction (Month/Day/Year)	_X_	Officer (give title	10% Owner Other (specify		
	CERT CEUTICALS, INC., 99 AVENUE, SUITE 500	05/22/2014	below)	below) Chief Executive C			
	(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)	Applica _X_ Fo	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
LEXINGTO	ON, MA 02421		Person	riii med by More than On	e Reporting		
(City)	(State) (Zip)	Table I - Non-Derivative Secu	rities Acquired, I	Disposed of, or Benefi	cially Owned		
1.Title of Security (Instr. 3)	any	eemed 3. 4. Securities A ion Date, if Transaction(A) or Dispose Code (Instr. 3, 4 and n/Day/Year) (Instr. 8)  (A or	ed of (D) Securition  Securition  Benefic  Owned  Follow  Reporte  Transac	ies Ownership cially Form: Direct (D) ing or Indirect ed (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	05/22/2014	Code V Amount (D M 60,000 A	Price \$ 461,52				
Common Stock			13,27	4 <u>(1)</u> I	By Tung Family Investment Trust (1)		
Common Stock			134,70	61 <u>(2)</u> I	By Roger D. Tung 2011		

GRAT (2)

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By RD Tung Common  $12,389 \frac{(3)}{}$ I Irrevocable Stock Trust (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,	rivative Expiration Date curities (Month/Day/Year) quired (A) Disposed of ostr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to	\$ 1.13	05/22/2014		M	60,000	<u>(4)</u>	12/11/2017	Common Stock	60,000

# **Reporting Owners**

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

Tung Roger D C/O CONCERT PHARMACEUTICALS, INC. 99 HAYDEN AVENUE, SUITE 500 LEXINGTON, MA 02421

X Chief Executive Officer

# **Signatures**

buy)

/s/ Roger D. 05/23/2014 Tung

\*\*Signature of Date

Reporting Person

Reporting Owners 2

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# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held by the Tung Family Investment Trust. Dr. Tung is a co-trustee of the Tung Family Investment Trust. Dr. Tung disclaims beneficial ownership of such shares except to the extent of any pecuniary interest therein.
- (2) Shares held by Roger D. Tung 2011 GRAT. Dr. Tung is the sole trustee of the Roger D. Tung 2011 GRAT. Dr. Tung disclaims beneficial ownership of such shares except to the extent of any pecuniary interest therein.
- (3) Shares held by the RD Tung Irrevocable Trust. Dr. Tung's spouse is a co-trustee of the RD Tung Irrevocable Trust. Dr. Tung disclaims beneficial ownership of such shares except to the extent of any pecuniary interest therein.
- (4) Immediately.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.