#### HOME BANCSHARES INC

Form 4 May 21, 2014

# FORM 4

#### **OMB APPROVAL**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box

**OMB** 3235-0287 Number:

if no longer subject to Section 16. Form 4 or

January 31, Expires: 2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per 0.5 response...

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Performance

Based

Stock

Stock

Common

Common

(Print or Type Responses)

1. Name and Ad Holbrook Jei	Symbol HOME	2. Issuer Name <b>and</b> Ticker or Trading Symbol HOME BANCSHARES INC [HOMB]				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) P.O. BOX 96	(First) (Mi	Earliest Tra ay/Year) )14	nsaction		below)	X_ Officer (give title Other (specify			
CONWAY,		ndment, Dat th/Day/Year)	e Original		Applicable Line) _X_ Form filed by On	X_ Form filed by One Reporting Person  Form filed by More than One Reporting			
(City)	(State) (Z	Zip) Table	e I - Non-De	erivative S	Securities Acq	uired, Disposed of,	or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code	ion(A) or D (Instr. 3	cities Acquired Disposed of (D , 4 and 5)  (A)  or  t (D) Price	) Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	05/02/2014		P	530	A \$ 32.00	35 530	I	Held by IRA	
Common Stock -						1 000 (4)	D		

Held by 401(k)

 $1,000^{(4)}$ 

1,100

(1)

17,596.247

1,000 (5)

D

D

I

D

### Edgar Filing: HOME BANCSHARES INC - Form 4

Common Stock -Restricted

Common Stock 200 I Custodian for children

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Performance Stock Option	\$ 5.54					01/01/2010	03/13/2016	Common Stock	5,931
Stock Option	\$ 4.92					(2)	<u>(3)</u>	Common Stock	712

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Holbrook Jennifer P.O. BOX 966 CONWAY, AR 72033

Controller

**Signatures** 

/s/ Jennifer Holbrook by Rachel
Wesson 05/21/2014

\*\*Signature of Reporting Person Date

Reporting Owners 2

### Edgar Filing: HOME BANCSHARES INC - Form 4

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 168.473 shares acquired through the Home BancShares, Inc. 401(k) Plan since the last filing
- (2) The option is exercisable in five equal annual installments. The first installment became exercisable on December 31, 2004
- (3) The option expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2004 and expires on December 31, 2014.
- (4) The Performance Stock awarded on August 2, 2012 will "cliff" vest on the third annual anniversary of the date that the performance goal is met. The performance goal was met on September 30, 2013 and will therefore "cliff" vest 100% on September 30, 2016.
- (5) Restricted Stock granted on August 2, 2012 will "cliff" vest 100% three years from award date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.