

CVR ENERGY INC

Form 3

October 22, 2007

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *Â SWANBERG
CHRISTOPHER G

(Last) (First) (Middle)

2277 PLAZA DRIVE,Â SUITE
500

(Street)

SUGAR LAND,Â TXÂ 77479

(City) (State) (Zip)

2. Date of Event Requiring
Statement(Month/Day/Year)
10/22/20073. Issuer Name **and** Ticker or Trading Symbol
CVR ENERGY INC [CVI]4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
VP, Env., Health & Safety5. If Amendment, Date Original
Filed(Month/Day/Year)6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

0

I

See Remarks

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of
Derivative5. Ownership
Form of
Derivative
Security:6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SWANBERG CHRISTOPHER G 2277 PLAZA DRIVE SUITE 500 SUGAR LAND, TX 77479			VP, Env., Health & Safety	

Signatures

/s/ Susan M. Ball,
Attorney-in-fact

10/22/2007

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Remarks:

Coffeyville Acquisition LLC and Coffeyville Acquisition II LLC each own shares of CVR Energy, Inc. common stock, par value \$0.01 per share ("Common Stock"). Mr. Swanberg owns common units equal to 0.1% of each of Coffeyville Acquisition LLC and Coffeyville Acquisition II LLC. Mr. Swanberg also owns to the Coffeyville Resources, LLC Phantom Unit Appreciation Plan (Plan I) and Coffeyville Resources Plan (Plan II). Phantom points constitute rights to a portion of the profits generated by Coffeyville Acquisition II LLC. The phantom unit appreciation plans are filed as exhibits 10.3 and 10.32 to the Form S-1, File No. 333-137588 (the "Registration Statement"). The limited liability company agrees that Coffeyville Acquisition LLC and Coffeyville Acquisition II LLC are filed as exhibits 10.34 and 10.35, respectively.

Mr.

Swanberg does not have the power to vote or dispose of the shares of Common Stock that correspond to the units owned by Coffeyville Acquisition LLC and Coffeyville Acquisition II LLC and thus does not have beneficial ownership of the shares of Common Stock. In addition, Mr. Swanberg does not have any pecuniary interest in the shares of Common Stock held by Coffeyville Acquisition II LLC (or phantom points) because he does not control either Coffeyville Acquisition LLC or Coffeyville Acquisition II LLC and does not have or share investment control over either entity's securities.

Exhibit List: Exhibit 24: Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.