#### HALDEMAN CHARLES E

Form 4

November 22, 2004

# FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

See Instruction 1(b).

(Print or Type Responses)

HALDEMAN CHARLES E Issuer Symbol PUTNAM NEW YORK (Check all applicable) **INVESTMENT GRADE** MUNICIPAL TRUST [pmn] Director 10% Owner X\_ Officer (give title \_X\_ Other (specify (Last) (First) (Middle) 3. Date of Earliest Transaction below) below) (Month/Day/Year) Pres. & CEO of Putnam Invest-/ ments and **PUTNAM INVESTMENTS** 11/19/2004 issuer's Manager

2. Issuer Name and Ticker or Trading

PUTNAM INVESTMENTS INC, ONE POST OFFICE SQ

(State)

1. Name and Address of Reporting Person \*

(Street) 4. If Amendment, Date Original

(Zip)

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting

Person

**BOSTON**, MA 02109

(City)

| (City)                 | (State) (Zi                          | Table 1                       | l - Non-De | rivative So | ecurities Acq                | iired, Disposed of      | , or Beneficial | ly Owned              |
|------------------------|--------------------------------------|-------------------------------|------------|-------------|------------------------------|-------------------------|-----------------|-----------------------|
| 1.Title of<br>Security | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if | 3.         |             | ties Acquired isposed of (D) | 5. Amount of Securities | 6. Ownership    | 7. Nature of Indirect |
| (Instr. 3)             | (Month/Day/Tear)                     | any                           | Code       | (Instr. 3,  | * '                          | Beneficially            | Form:           | Beneficial            |
|                        |                                      | (Month/Day/Year)              | (Instr. 8) |             |                              | Owned                   | Direct (D)      | Ownership             |
|                        |                                      |                               |            |             |                              | Following               | or Indirect     | (Instr. 4)            |
|                        |                                      |                               |            |             | (A)                          | Reported                | (I)             |                       |
|                        |                                      |                               |            |             | or                           | Transaction(s)          | (Instr. 4)      |                       |
|                        |                                      |                               | Code V     | Amount      | (D) Price                    | (Instr. 3 and 4)        |                 |                       |
| Closed-End<br>Fund     | 11/19/2004                           |                               | P          | 280         | A \$ 11.82                   | 280                     | D               |                       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: HALDEMAN CHARLES E - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D) |                     | ate                | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |  |
|---|---|---|---|--|--|---------------------|--------------------|---|---|---|--|
|   |   |   |   | Code V                                 | (Instr. 3, 4, and 5)  (A) (D)                          | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares              |   |  |

## **Reporting Owners**

| Reporting Owner Name / Address |      | Relationships |  |
|--------------------------------|------|---------------|--|
|                                | <br> |               |  |

Director 10% Owner Officer Other

HALDEMAN CHARLES E PUTNAM INVESTMENTS INC ONE POST OFFICE SQ

Pres. & CEO of Putnam ments and issuer's Invest- Manager

Relationshine

## **Signatures**

BOSTON, MA 02109

Charles E. Haldeman, Jr. 11/22/2004

\*\*Signature of Reporting Date
Person

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2