

Edgar Filing: MDC HOLDINGS INC - Form 4

MDC HOLDINGS INC
Form 4
October 29, 2002

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

| | Check this box if no longer subject
to Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

(Print or Type Responses)

Filed pursuant to Section 16(a) of the Securities Exchange
Section 17(a) of the Public Utility Holding Company
Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol			6. Relationship to Issuer		
Buchwald, Herbert T.			M.D.C. Holdings, Inc. / MDC			☒ Direct		
(Last)	(First)	(Middle)	3. I.R.S. Identification Number of Reporting Person if an entity (voluntary)			4. Statement for Month/Day/Year		
						October 28, 2002		
3600 S. Yosemite St, #900						5. If Amendment, Date of Original (Month/Day/Year)		
(Street)						7. Individual ()		
						☒ For		
						☐ For Rep		
Denver, Colorado 80237								
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acquired, Disposed					
1. Title of Security (Instr.3)			2. Transaction Date	2A. Deemed Execution Date, if any	3. Transaction Code (Instr.8)	4. Securities Acquired (A) or Disposed of (D) (Instr.3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction (Instr.3 and
			(Month/Day/Year)	(Month/Day/Year)		(A)	(D)	
					Code	Amount	Price	
Common Stock \$.01 Par Value								11,163

[illegible]

(CONTINUED) Table II - Derivative Securities Acquired, Disposed of, or Beneficially (e.g., puts, calls, warrants, options, convertible securities)		
9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of
22,750	D	

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27,500	D	
25,000	D	

Explanation of Responses: SEE FOOTNOTES ON PAGE 3

For: Herbert T. Buchwald

By: /S/ Joseph H. Fretz

 **Signature of Reporting Person
 Joseph H. Fretz
 Attorney-in-Fact

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations
 See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Herbert T. Buchwald
 3600 S. Yosemite St, #900
 Denver, CO 80237

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- (1) Shares owned by a partnership in which the reporting person is a general partner.
- (2) Represents a stock option under the Company's Director Equity Incentive Plan which under former Rule 16b and current Rule 16b-3.
- (3) Represents a stock option under the Company's Stock Option Plan for Non-Employee D requirements under Rule 16b-3.

