

CARNIVAL CORP  
Form 8-K  
October 21, 2016

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT**  
**PURSUANT TO SECTION 13 OR 15(d) OF THE**  
**SECURITIES EXCHANGE ACT OF 1934**

**Date of Report (date of earliest event reported) October 18, 2016**

**Carnival Corporation**  
**(Exact name of registrant as specified in its charter)**

**Republic of Panama**  
**(State or other jurisdiction of incorporation)**

**001-9610**  
**(Commission File Number)**

**59-1562976**  
**(I.R.S. Employer Identification No.)**

**Carnival plc**  
**(Exact name of registrant as specified in its charter)**

**England and Wales**  
**(State or other jurisdiction of incorporation)**

**001-15136**  
**(Commission File Number)**

**98-0357772**  
**(I.R.S. Employer Identification No.)**



**Section 5 Corporate Governance and Management**

**Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.**

(e) Compensation Arrangement for President and Chief Executive Officer

On October 18, 2016, Carnival Corporation and Carnival plc (together, the Companies ) entered into an amendment effective as of October 14, 2016 (the Effective Date ) to the employment agreement entered as of October 14, 2013 (the Agreement ) with Arnold W. Donald, President and Chief Executive Officer of the Companies (the Amendment ).

The Amendment extends the term of the Agreement one year, commencing on the Effective Date, subject to automatic annual renewals. In addition, pursuant to the terms of the Amendment, going forward Mr. Donald's long-term incentive awards shall be determined by the Boards of Directors in their discretion, consistent with the terms of the Carnival Corporation 2011 Stock Plan. These terms replace certain detailed compensatory provisions in the Agreement. No other material changes were made to the Agreement.

This summary does not purport to be complete and is subject to and qualified in its entirety by reference to the text of the Amendment, included as Exhibit 10.1 to this filing. Exhibit 10.1 is incorporated by reference into this Item 5.02.

**Section 9 Financial Statements and Exhibits**

**Item 9.01 Financial Statements and Exhibits.**

(d) Exhibits.

- 99.1 Amendment dated October 18, 2016 to Employment Agreement dated as of October 14, 2013 between Carnival Corporation, Carnival plc and Arnold W. Donald

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, each of the registrants has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**Carnival Corporation**

By: /s/ Arnaldo Perez  
Name: Arnaldo Perez  
Title: General Counsel & Secretary

Date: October 21, 2016

**Carnival plc**

By: /s/ Arnaldo Perez  
Name: Arnaldo Perez  
Title: General Counsel & Company Secretary

Date: October 21, 2016

**Exhibit Index**

<b>Exhibit No.</b>	<b>Description</b>
99.1	Amendment dated October 18, 2016 to Employment Agreement dated as of October 14, 2013 between Carnival Corporation, Carnival plc and Arnold W. Donald