

NOMURA HOLDINGS INC
Form 6-K
December 12, 2014
Table of Contents

FORM 6-K
U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

**Pursuant to Rule 13a-16 or 15d-16 of
the Securities Exchange Act of 1934**

Commission File Number: 1-15270

For the month of December 2014

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

Table of Contents

Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from November 1, 2014 to November 30, 2014

Table of Contents

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: December 12, 2014

By: /s/ Hajime Ikeda
Hajime Ikeda
Managing Director

Table of Contents

*[Translation of Share Buyback Report for the reporting month from November 1, 2014 to November 30, 2014
filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on December 12, 2014]*

Class of Shares: Common Stock**1. Status of repurchase**

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

| | as of November 30, 2014 | |
|--|-------------------------|-------------------------------|
| | Number of Shares | Total Repurchase Amount (JPY) |
| Authorization pursuant to the resolution of the Board (October 28, 2014) (Period of repurchase: from November 13, 2014 to January 16, 2015) | 40,000,000 | 28,000,000,000 |
| Repurchases during this reporting month (Date of repurchase) | (Date) | |
| Total | 0 | 0 |
| Aggregate shares repurchased as of the end of this reporting month | 0 | 0 |
| Progress of share repurchase (%) | 0.0 | 0.0 |

2. Status of disposition

| | as of November 30, 2014 | |
|--|--|--|
| | Number of shares disposed in the reporting month | Aggregate amount of disposition (JPY) |
| Treasury shares disposed subject to procedures for issuance of new shares | (Date) | |
| Subtotal | | |

Edgar Filing: NOMURA HOLDINGS INC - Form 6-K

| | | | |
|---|--------|-----------|-------------|
| Treasury shares canceled | (Date) | | |
| Subtotal | | | |
| Treasury shares transferred upon merger, share exchange or demerger | (Date) | | |
| Subtotal | | | |
| Other (upon request for purchasing less-than-a-full-unit shares) | (Date) | | |
| | Nov 6 | 69 | 43,911 |
| Subtotal | | 69 | 43,911 |
| Other (exercise of stock acquisition rights) | (Date) | | |
| | Nov 4 | 1,027,500 | 3,392,500 |
| | Nov 5 | 294,600 | 1,486,600 |
| | Nov 6 | 246,600 | 1,192,600 |
| | Nov 7 | 589,900 | 1,956,900 |
| | Nov 10 | 419,400 | 1,365,400 |
| | Nov 11 | 236,200 | 709,200 |
| | Nov 12 | 94,800 | 2,407,800 |
| | Nov 13 | 288,200 | 4,091,200 |
| | Nov 14 | 42,100 | 9,727,100 |
| | Nov 17 | 210,000 | 27,235,200 |
| | Nov 18 | 52,000 | 15,933,000 |
| | Nov 19 | 116,800 | 16,453,800 |
| | Nov 20 | 68,100 | 13,138,100 |
| | Nov 21 | 238,700 | 9,019,700 |
| | Nov 25 | 30,800 | 5,609,800 |
| | Nov 26 | 37,800 | 7,050,800 |
| | Nov 27 | 253,600 | 13,846,600 |
| | Nov 28 | 1,557,900 | 2,151,900 |
| Subtotal | | 5,805,000 | 136,768,200 |
| Total | | 5,805,069 | 136,812,111 |

Table of Contents

3. Status of shares held in treasury

| As of the end of the reporting month | As of November 30, 2014 Number of Shares |
|--------------------------------------|---|
| Total number of issued shares | 3,822,562,601 |
| Number of shares held in treasury | 173,372,825 |