NOMURA HOLDINGS INC Form 6-K November 18, 2014 Table of Contents

FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of November 2014

NOMURA HOLDINGS, INC.

(Translation of registrant s name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.
Form 20-F <u>X</u> Form 40-F
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

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Information furnished on this form:

EXHIBIT

Exhibit Number

1. Nomura Finalizes Number and Exercise Price of Stock Options

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: November 18, 2014 By: /s/ Hajime Ikeda

Hajime Ikeda Managing Director

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Nomura Finalizes Number and Exercise Price of Stock Options

Tokyo, November 18, 2014 Nomura Holdings, Inc. (the Company) today announced that it has finalized the number and the exercise price of stock acquisition rights to be issued as stock options based on a resolution of its Executive Management Board held on October 27, 2014, as outlined below.

Stock Acquisition Rights No. 62

1. Total Number of Stock Acquisition Rights to be Issued 27,285

2. Grantees Total of 1,280 directors and employees of subsidiaries

of the Company

3. Shares of Common Stock Under Stock Acquisition

Rights

2,728,500 shares

4. Value of Assets Financed upon the Exercise of the Stock 74,700 yen per Stock Acquisition Right

Acquisition Rights

747 yen per share

(Equal to the product of (i) the closing price of the common stock of the Company on the Tokyo Stock Exchange on the grant date (November 18, 2014) and (ii) 1.05 (any fraction of less than one (1) yen resulting from the calculation shall be rounded up to the nearest

yen))

5. Total Value of Common Stock to be Granted (issue of new stocks or transfer of treasury stocks) Upon Exercise of Stock Acquisition Rights (issue of new shares or transfer of treasury shares)

2,038,189,500 yen

ends

For further information please contact:

Name **Company Telephone** 81-3-3278-0591 Joey Wu Nomura Holdings, Inc.

Keiko Sugai **Group Corporate Communications**

Dept.

Nomura

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Nomura is an Asia-based financial services group with an integrated global network spanning over 30 countries. By connecting markets East & West, Nomura services the needs of individuals, institutions, corporates and governments through its three business divisions: Retail, Asset Management, and Wholesale (Global Markets and Investment Banking). Founded in 1925, the firm is built on a tradition of disciplined entrepreneurship, serving clients with creative solutions and considered thought leadership. For further information about Nomura, visit www.nomura.com.