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NOMURA HOLDINGS INC Form 6-K June 10, 2013 Table of Contents

# FORM 6-K

# U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**Report of Foreign Private Issuer** 

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of June 2013

# NOMURA HOLDINGS, INC.

 $(Translation \ of \ registrant \ \ s \ name \ into \ English)$ 

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

(Address of principal executive offices)			
Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.			
Form 20-F <u>X</u> Form 40-F			
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):			
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):			

Information furnished on this form:

#### **EXHIBIT**

#### Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from May 1, 2013 to May 31, 2013

Date: June 10, 2013

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

#### NOMURA HOLDINGS, INC.

By: /s/ Eiji Miura Eiji Miura

Senior Managing Director

[Translation of Share Buyback Report for the reporting month from May 1, 2013 to May 31, 2013 filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on June 10, 2013]

Class of Shares: Common Stock

#### 1. Status of repurchase

- (1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders Not applicable
- (2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors ( Board )

as of May 31, 2013

	Numbe	er of Shares	Total Repurchase Amount (JPY)
Authorization pursuant to the resolution of the Board (April 26, 2013) (Period of repurchase: from May 8, 2013 to May 31, 2013)		40,000,000	35,000,000,000
Repurchases during this reporting month (Date of repurchase)	Date		
	May 8	15,189,500	12,000,394,200
	May 9	17,856,000	14,616,016,400
	May 10	6,954,500	5,853,975,700
Total		40,000,000	32,470,386,300
Aggregate shares repurchased as of the end of this reporting month		40,000,000	32,470,386,300
Progress of share repurchase (%)		100.0	92.8

#### 2. Status of disposition

as of May 31, 2013

	Number of shares disposed in the reporting month	Aggregate amount of disposition (JPY)
Treasury shares disposed subject to procedures for issuance of new shares	(Date)	

Subtotal

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Treasury shares canceled	(Date)		
Subtotal			
Treasury shares transferred upon merger, share exchange or demerger	(Date)		
Subtotal			
Other (upon request for purchasing less-than-a-full-unit shares )	(Date)		
	May 9	10	7,930
	May 20	70	65,380
Subtotal		80	73,310
Other (exercise of stock acquisition rights)	(Date)	4,712,100	9,005,100
	May 1	2,763,600	4,671,600
	May 2	2,931,400	6,270,400
	May 7	506,100	4,663,100
	May 8	848,700	5,918,700
	May 9	307,000	1,738,000
	May 10	366,900	1,797,900
	May 13	374,400	2,759,400
	May 14	695,500	6,242,500
	May 15	309,400	10,231,400
	May 16	210,600	3,549,600
	May 17	254,200	9,304,200
	May 20	298,200	12,346,200
	May 21	503,100	13,628,100
	May 22	162,600	6,663,600
	May 23	31,500	4,324,500
	May 24	281,200	8,036,200
	May 27	2,119,900	13,131,900
	May 28	1,488,500	6,735,500
	May 29	1,306,600	3,514,600

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	May 30	500,800	977,800
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	May 31		
Cubtotal		20 072 200	125 510 200
Subtotal		20,972,300	135,510,300
Total		20,972,380	135,583,610

#### 3. Status of shares held in treasury

As of May 31, 2013

As of the end of the reporting month	Number of Shares
Total number of issued shares	3,822,562,601

Number of shares held in treasury 124,372,945