

HOMEAWAY INC

Form 4

August 05, 2013

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
BRODY JEFFREY D

(Last) (First) (Middle)

**3000 SAND HILL
ROAD, BUILDING 2, SUITE 290**

(Street)

MENLO PARK, CA 94025

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
HOMEAWAY INC [AWAY]

3. Date of Earliest Transaction
(Month/Day/Year)
08/01/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/01/2013		A	1,427 (1)	A \$ 0 1,427	D	
Common Stock					49,743	I	By Redpoint Associates I, LLC (2) (3)
Common Stock					39,655	I	By Redpoint Associates II, LLC (4) (5)
Common Stock					1,939,955	I	By Redpoint Ventures I, L.P. (3) (6)

Edgar Filing: HOMEAWAY INC - Form 4

Common Stock	1,715,020	I	By Redpoint Ventures II, L.P. <u>(5)</u> <u>(7)</u>
Common Stock	5,311	I	By Redpoint Omega Associates, LLC <u>(8)</u> <u>(9)</u>
Common Stock	187,823	I	By Redpoint Omega, L.P. <u>(9)</u> <u>(10)</u>
Common Stock	40,340	I	By Redpoint Technology Partners A-I, L.P. <u>(3)</u> <u>(11)</u>
Common Stock	252,317	I	By Redpoint Technology Partners Q-I, L.P. <u>(3)</u> <u>(12)</u>
Common Stock	147,126	I	By Family Trust <u>(13)</u>
Common Stock	23,444	I	By Partnership <u>(14)</u>
Common Stock	1,247	I	By Koga <u>(15)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Deemed Exercise Date (Instr. 3)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title	Amount or Number of Shares

Stock Options (Right to Buy)	\$ 30.52	08/01/2013	A	7,472	<u>(16)</u>	08/01/2023	Common Stock	7,472
Stock Options (Right to Buy)	\$ 25.54				<u>(17)</u>	03/27/2022	Common Stock	26,762
Stock Options (Right to Buy)	\$ 22.07				<u>(18)</u>	06/06/2022	Common Stock	13,514

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BRODY JEFFREY D 3000 SAND HILL ROAD BUILDING 2, SUITE 290 MENLO PARK, CA 94025	X	X		

Signatures

Melissa Fruge (as Attorney-in-Fact for Jeffrey D. Brody) 08/05/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents restricted stock units awarded to the reporting person. 100% of the shares subject to the restricted stock units vest on the one-year anniversary of the vesting commencement date, such that the award will be fully-vested on August 1, 2014.
- (2) The shares are held by Redpoint Associates I, LLC ("RA I").
- (3) The Reporting Person is a Managing Director of Redpoint Ventures I, LLC, which serves as the manager of RA I and serves as the general partner of RV I LP, RTP A and RTP Q. As such, the Reporting Person shares voting and investment power over the shares held by RA I, RV I LP, RTP A and RTP Q. The Reporting Person disclaims beneficial ownership of the shares held by RA I, RV I LP, RTP A and RTP Q except to the extent of his proportionate pecuniary interest therein.
- (4) The shares are held by Redpoint Associates II, LLC ("RA II").
- (5) The Reporting Person is a Managing Director of Redpoint Ventures II, LLC (RV II LLC"), which serves as the general partner of RV II LP. RV II LLC and RA II are under common control. As such, the Reporting Person shares voting and investment power over the shares held by RV II LP and RA II. The Reporting Person disclaims beneficial ownership of the shares held by RV II LP and RA II except to the extent of his proportionate pecuniary interest therein.
- (6) The shares are held by Redpoint Ventures I, L.P. ("RV I LP").
- (7) The shares are held by Redpoint Ventures II, L.P. ("RV II LP").
- (8) The shares are held by Redpoint Omega Associates, LLC ("RO Associates").
- (9)

Edgar Filing: HOMEAWAY INC - Form 4

The Reporting Person is a Managing Director of Redpoint Omega, LLC ("RO LLC"), which serves as the general partner of RO LP. RO LLC and RO Associates are under common control. As such, the Reporting Person shares voting and investment power over the shares held by RO LP and RO Associates. The Reporting Person disclaims beneficial ownership of the shares held by RO LP and RO Associates except to the extent of his proportionate pecuniary interest therein.

(10) The shares are held by Redpoint Omega, L.P. ("RO LP").

(11) The shares are held by Redpoint Technology Partners A-I, L.P. ("RTP A").

(12) The shares are held by Redpoint Technology Partners Q-I, L.P. ("RTP Q").

The shares are held by the Brody Family Trust U/D/T dated July 1, 1994 (the "Family Trust"). The Reporting Person is a trustee and beneficiary of the Family Trust. The Reporting Person disclaims beneficial ownership of the shares held by the Family Trust except to the extent of his proportionate pecuniary interest therein.

The shares are held by the Brody Children's Partnership (the "Children's Partnership"). The Reporting Person is a general partner of the Children's Partnership. The Reporting Person disclaims beneficial ownership of the shares held by the Children's Partnership except to the extent of his proportionate pecuniary interest therein.

(15) The shares are held by Koga Partners, L.P. ("Koga"). The Reporting Person is a general partner of Koga. The Reporting Person disclaims beneficial ownership of the shares held by Koga except to the extent of his proportionate pecuniary interest therein.

(16) 1/12th of the shares of common stock subject to the option vests each month following the vesting commencement date, such that the award will be fully-vested on August 1, 2014.

6.25% of the shares of Common Stock subject to the Option shall vest on the date which is three months following the Vesting Commencement Date and an additional one forty-eighth (1/48th) of the total number of shares of Common Stock subject to the Option shall vest on the corresponding day of each month thereafter, or to the extent such a month does not have the corresponding day, on the last day of any such month, and will be fully vested as of April 1, 2015.

(18) 8.33% vest monthly beginning one month from grant date. Fully vested as of June 6, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.