SHEEHAN JAMES N

Form 5

December 06, 2018

#### **OMB APPROVAL** FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per **OWNERSHIP OF SECURITIES** 5 obligations response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions

Reported									
1. Name and Address of Reporting Person * SHEEHAN JAMES N			2. Issuer Name <b>and</b> Ticker or Trading Symbol HORMEL FOODS CORP /DE/ [HRL]			5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First)	(Middle)	3. Statem (Month/D 10/28/2)	ay/Year)	Fiscal Year Ended	DirectorX Officer (give below)	e title Other below)		
1 HORMEI	L PLACE					Seni	or V.P. & CFO		
	(Street)			ndment, Date ( nth/Day/Year)	Original	6. Individual or Jo		C	
						(chec	k applicable line)		
AUSTIN,Â	MNÂ 55912					_X_ Form Filed by Form Filed by ! Person	One Reporting Po More than One Ro		
(City)	(State)	(Zip)	Tabl	e I - Non-Deri	vative Securities Acq	uired, Disposed o	f, or Beneficial	ly Owned	
1.Title of	2. Transaction D			3. Transaction	4. Securities Acquired (A) or	5. Amount of Securities	6. Ownership Form: Direct		

							Person		
(City)	(State)	(Zip) Tabl	e I - Non-Der	ivative Se	curitie	es Acqu	ired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi Acquired Disposed (Instr. 3,	l (A) o l of (D	))	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/20/2017	Â	G	225	D	\$0	80,457 (1) (2)	I	Rev Trust
Common Stock	12/20/2017	Â	G	225	D	\$0	56,355 <u>(3)</u>	I	Spouse's Rev Trust
Common Stock	12/27/2017	Â	G	225	D	\$0	80,232	I	Rev Trust
Common Stock	12/27/2017	Â	G	225	D	\$0	56,130	I	Spouse's Rev Trust

Common Stock	04/11/2018	Â	G	2,500	D	\$ 0	77,732	I	Rev Trust
Common Stock	04/11/2018	Â	G	2,500	D	\$ 0	53,630	I	Spouse's Rev Trust
Common Stock	10/12/2018	Â	G	750	D	\$0	76,982	I	Rev Trust
Common Stock	10/12/2018	Â	G	750	D	\$ 0	52,880	I	Spouse's Rev Trust
Common Stock	Â	Â	Â	Â	Â	Â	15,673.737	I	JEPST Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	<ol><li>Date Exerc</li></ol>	cisable and	7. Titl	le and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration Da	ate	Amou	ınt of	Derivativ
Security	or Exercise	•	any	Code	of	(Month/Day/	Year)	Under	rlying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
									or	
						Date	Expiration	Title	Number	
						Exercisable	Date	Titic	of	
					(A) (D)				Shares	
					(A) $(D)$				Shares	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SHEEHAN JAMES N 1 HORMEL PLACE AUSTIN, MN 55912	Â	Â	Senior V.P. & CFO	Â			

### **Signatures**

James N. Sheehan, by Power of Attorney 12/06/2018

\*\*Signature of Reporting Person Date

Reporting Owners 2

#### Edgar Filing: SHEEHAN JAMES N - Form 5

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The balance reported on this Form 4 reflects that the reporting person's Form 4 filed on August 31, 2017, erroneously deducted 260 shares from the reporting person's revocable trust when they should have been deducted from the reporting person's spouse's revocable trust.
- (2) The balance reported on this Form 4 also reflects an additional 24,296 which the reporting person transferred from direct ownership to the revocable trust beneficially owned by the reporting person.
- (3) The balance reported on this Form 4 reflects the deduction of 260 shares from the reporting person's spouse's revocable trust which were erroneously deducted from the reporting person's revocable trust on the reporting person's Form 4 filed on August 31, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.